Sequestering CP Violation and GIM-Violation with Warped Extra Dimensions

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Abstract

We propose a model of spontaneous CP violation to address the strong CP problem in warped extra dimensions that relies on sequestering flavor and CP violation. We assume that brane-localized Higgs Yukawa interactions respect a $U(3)$ flavor symmetry that is broken only by bulk fermion mass and Yukawa terms. All CP violation arises from the vev of a CP-odd scalar field localized in the bulk. To suppress radiative corrections to $\bar{\theta}$, the doublet quarks in this model are localized on the IR brane. We calculate constraints from flavor-changing neutral currents (FCNCs), precision electroweak measurements, CKM unitarity, and the electric dipole moments in this model and predict $\bar{\theta}$ to be at least about 10^{-12}.

1 Introduction

CP violation in the kaon system was first observed more than 40 years ago [1], and yet no evidence of CP violation in the strong interactions has since been observed. Whereas the weak phase is of order unity, the strong CP angle $\bar{\theta}$ is constrained to be $\lesssim 10^{-10}$.

The quarks get their masses in the Standard Model from two Yukawa matrices Y_u, Y_d for the up-type quarks and down-type quarks, respectively, which induce a unitary CKM matrix when rotated into the mass eigenbasis:

$$\begin{aligned}
\lambda_u &\rightarrow L_u \lambda_u R_u^\dagger = \mu_u/v \\
\lambda_d &\rightarrow L_d \lambda_d R_d^\dagger = \mu_d/v \\
V_{\text{CKM}} &= L_u L_d^\dagger
\end{aligned} \tag{1}$$

V_{CKM} contains a single phase that cannot be removed by vector-like rotations of the quarks. This phase accounts for all observed CP violation in the Standard Model. The rotation in equation 1 is generically chiral and thus shifts θ_{QCD}, but in such a way that the physically observable $\bar{\theta}$ remains invariant

$$\bar{\theta} = \theta_{\text{QCD}} - \arg \det \lambda_u \lambda_d \tag{2}$$

The renormalizable operator $\theta_{\text{QCD}} G\tilde{G}$ could in principle violate CP independently of the weak interactions. Without some mechanism for preventing strong CP violation, the cancellation between θ_{QCD} and $\arg \det \lambda_u \lambda_d$ appears extremely fine-tuned.

An additional difficulty with constraints on CP violation occurs in models where the flavor structure of the Standard Model is explained by UV physics. Since flavor and CP in the Standard Model have the same origin (the Yukawa matrices), any solution to the flavor problem is likely to also introduce new sources of CP violation (CPV). Integrating out heavy fields generically induces dimension-5 operators that contribute to the neutron electric dipole moment (EDM), independently of $\bar{\theta}$. Axion models, which address only $\bar{\theta}$ have nothing to say about these additional contributions. Of course the order of magnitude involved is far less severe, but nonetheless these additional contributions to EDMs generally require additional model-building constraints.

The approach we take addresses these issues and falls in the class of solutions referred to as spontaneous CP violation. The idea is that CP is a valid symmetry in the UV, but is broken spontaneously in such a way that phases enter V_{CKM} but not $\arg \det \lambda_u \lambda_d$.

In this paper we consider a theory of spontaneous CPV embedded in RS. We utilize in a warped scenario the extra dimensional mechanism of [12], which "sequesters" the source of CPV from operators that could directly transmit it to $\bar{\theta}$. Thus, we are able to address the strong CP problem even with new KK modes near the electroweak scale, though electroweak constraints push the KK masses to be $\gtrsim 15 - 18$ TeV. This is low from the point of view of models addressing the strong CP problem, though unfortunately it is fairly high in terms of addressing the gauge hierarchy problem. However we show that embedding a solution to the strong CP problem based on spontaneous CPV inside RS allows us to explain mass scales and further suppress some potentially dangerous CP and flavor violating contributions, thereby allowing us to address both the gauge hierarchy and fermion mass hierarchy problems.

Furthermore, sequestering CPV relaxes constraints on the KK scale of RS from neutron electric dipole moment (EDM) measurements. We will show that if CPV is sourced in the

bulk and transmitted through the fermion wavefunctions, then the flavor violation and CP violation very efficiently "washes out" of the excited modes but not the zero modes. This is to be contrasted with "anarchic" models of flavor in RS, where the CPV comes from Higgs Yukawas with $\mathcal{O}(1)$ entries and phases, and thus is equally present at every KK level. We show that our model suppresses EDM operators radiatively generated from integrating out KK modes.

As we will explain in more detail throughout the paper, the suppression of flavor and CP violation in our model exploits four ingredients that are natural in the context of extra dimensions:

1. *AdS spacetime isometries in the bulk.* The $SO(4,2)$ symmetry of AdS greatly restricts the possible couplings of bulk fermions a bulk scalar field Φ, analogous to the restrictions imposed by 5D Lorentz symmetry in flat-space [12]. We assume CP is spontaneously broken when Φ gets a vev. This generates a *hermitian* bulk mass matrix $\mathcal{M}$ for the fermions as with the mechanism of [12]. The zero mode wavefunctions $F^{(0)}(\phi)$ at a position ϕ in the bulk then take the form

$$F^{(0)} \propto \mathcal{P} \exp \left(\int^{\phi} \mathcal{M}(\phi') d\phi' \right), \tag{3}$$

   where $\mathcal{P}$ denotes path ordering with respect to ϕ. This has real determinant since $\mathcal{M}$ is Hermitian. Taking $\lambda_{u,d}$ to be the brane Yukawas, which are real-valued by CP, one finds that $Y_{u,d} = F_q^{(0)} \lambda_{u,d} F_{u,d}^{(0)}$. Both have real determinant, and $\bar{\theta}$ vanishes at tree-level.

2. *Sequestering of the CP violating scalar field from the boundaries and the local Yukawa interactions.* Ingredient (1) enforces that CP violation in the bulk that is transmitted through the fermion wavefunctions will not induce $\bar{\theta}$ at tree level. However, if the source of CPV has non-negligible overlap with the TeV brane, then it can enter the Yukawas through the direct coupling $\Phi_{ij}(\bar{Q}_L)_i H(U_R)_j$, which contributes order one strong CP phases once Φ gets a vev. $\bar{\theta}$ would not be protected from these contributions, and thus it is crucial that the source of CPV be geographically sequestered from the Higgs Yukawas. Furthermore, the AdS isometries are explicitly broken at the boundaries of RS, and the coupling of Φ to the fermions is not protected there. We therefore assume that $\langle\Phi\rangle$ is localized somewhere in the bulk, away from the boundary branes. We will see that the farther we localize Φ in the UV, the less its effect will be on the KK fermion wavefunctions, so CP violation is even more effectively sequestered than one might naively assume.

   For simplicity, we sequester Φ by localizing it to a third brane in the warped bulk. It is possible to imagine other equally viable profiles for Φ. It will be important that the effect of Φ washes out much more efficiently of the IR-localized KK mode wavefunctions than in those of the UV-localized zero modes so that there is a limit where the KK mode wavefunctions are diagonal in flavor indices (up to negligible corrections) and all CP violation enters the KK-reduced theory only through the zero mode wavefunctions.

3. *A large flavor symmetry.* At tree-level, the only aspect of the flavor symmetry that is necessary for $\bar{\theta}$ suppression is that all the up-type quarks get their masses at the same place in the bulk, and similarly for the down-type quarks. This is generically a feature

of RSI models, where the Higgs vev must be localized near the TeV brane in order to solve the hierarchy problem. To address loop corrections, we assume that the flavor group $U(3)_Q \times U(3)_U \times U(3)_D$ breaks to a diagonal $U(3)$ through the Higgs Yukawas, and further down to the $U(1)^3$ subgroup by constant bulk fermion masses, and finally broken completely by a CPV source in the bulk.

We further assume that the bulk masses for the up-type singlets and down-type singlets approximately commute, so that they are diagonal in approximately the same basis[1]. In this case, the bulk masses leave invariant an approximate $U(1)^3$ for each generation. It is not necessary to make any further assumption about the bulk mass matrices aligning with the brane Yukawas.

4. *Doublets confined to the IR brane.* The doublets are confined to the IR brane. Consequently, the only states charged under $SU(2)_L$ are zero modes. This implies that all fermion interactions with charged currents are controlled by a single CKM matrix V_{CKM} that is a generalization of the Standard Model version. In the Standard Model, the CKM matrix is unitary since all of the left-handed fields couple to the W bosons with equal strength. However, in 5d models, there are left-handed fields, namely the left-handed KK excitations of the electroweak singlets, which do not couple to W bosons. Consequently, V_{CKM} is of the form

$$V_{\mathrm{CKM}} = L_u P_0 L_d^\dagger \tag{4}$$

where P_0 is a projection onto the left-handed *zero modes* and $L_{u,d}$ are diagonalization matrices that mix zero and KK modes.

With the doublets to be confined to the IR brane, all CP and flavor violation appears through the singlet wavefunctions. In particular, the singlet zero mode wavefunctions are responsible for generating small Standard Model CKM angles. When the CPV source is located sufficiently far in the UV, the CKM angles appear generically as fractional powers of ratios of fermion masses, and thus are generated naturally.

We will see that a consequence of these assumptions is that up to $O(v/M_{KK})^2$, we have an approximate GIM mechanism. Our basic outline for this paper is as follows. In section 2, we review flavor in warped extra-dimensional models and describe some differences in our model. We present our model and some of its features in section 3. In sections 4 we discuss the KK reduced theory and its interactions. In section 5, we calculate the CP and flavor constraints, and in section 6 we conclude.

2   Flavor Physics in Higher-Dimensional Models

Because the spontaneous breaking of CP is intimately connected with the breaking of flavor symmetries in our model, we give a brief aside about flavor physics in higher-dimensional models and RS in particular. The models we compare all have an extra dimension bounded by branes. The original RS model had the entirety of the SM localized on the IR brane.

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[1]This could be achieved for instance by coupling together the fields responsible for generating their bulk masses so that their potential is minimized when they align.

Confinement of the SM fields to the IR brane was assumed to happen through some unspecified dynamics whose effects on flavor-changing, etc., were impossible to calculate. Putting the SM gauge fields in the bulk (first considered in [8, 9]) allowed the possibility for gauge coupling unification [16–20], but it had calculable corrections to precision electroweak observables that raised the scale of KK gauge bosons to 23 TeV. Putting all the fermions in the bulk drastically weakened such constraints, and further allowed for the warping of fermion wavefunctions to explain the hierarchy in their masses [10, 11, 22, 25] while the brane Yukawas and masses were order $\mathcal{O}(1)$ and anarchic. In these "anarchic" models, the small zero mode masses and CKM angles arise from the hierarchy in fermion wavefunctions on the TeV brane, as follows. The Yukawa matrices in the KK reduced theory are $Y_{u,d} = F_Q^{(0)} \lambda_{u,d} F_{u,d}^{(0)}$, where $\lambda_{u,d}$ are the 5d brane Yukawa matrices with $\mathcal{O}(1)$ values and phases in every entry and $F_{Q,u,d}^{(0)}$ are matrices of the zero mode wavefunctions at the IR brane. The zero mode masses then are dynamically generated as

$$m_{u,d} \approx v F_Q^{(0)} F_{u,d}^{(0)} = v \sqrt{\frac{1 + 2\nu_Q}{1 - e^{-(1+2\nu_Q)k\pi r_c}}} \sqrt{\frac{1 + 2\nu_{u,d}}{1 - e^{-(1+2\nu_{u,d})k\pi r_c}}} \qquad (5)$$

where $\nu_{Q,u,d}$ are the bulk masses in units of the AdS curvature scale k, and we have used the zero mode wavefunctions $F^{(0)} = \sqrt{(1 + 2\nu)/(1 - e^{-(1+2\nu)k\pi r_c})}$. Therefore, a modest spread in the values of ν for the different fermions gives rise to an exponential hierarchy in the masses. Furthermore, the CKM angles are controlled by the bulk masses for the doublets, as follows. The CKM matrix diagonalizes $Y_{u,d} Y_{u,d}^\dagger = F_Q^{(0)} \lambda_{u,d} F_{u,d}^{(0)2} \lambda_{u,d}^\dagger F_Q^{(0)}$, and thus the CKM angles are approximately

$$\theta_{ij} \approx \frac{F_{Qi}}{F_{Qj}} \qquad (6)$$

Constraints on the T parameter from bulk $SU(2)$ gauge boson contributions were further reduced in models in which global custodial $SU(2)$ was extended to a gauge symmetry in the bulk. Potential problems with RS models of flavor are the Z to $b\bar{b}$ coupling, which is modified because b_L cannot be localized too far away from the IR brane, where KK modes of bulk gauge fields are concentrated, as well as large ϵ_K and the electric dipole moment of the neutron. These latter constraints are particularly bad because of the parity symmetry in the bulk, which means that both left and right-handed fields couple to the W gauge boson KK modes. These constraints are quite severe [34, 35].

As in any model of flavor, one can also try to address the strong CP problem in these models. The first proposal to use twisting to solve the strong CP problem was made in [12], in a flat extra dimension. There, CP violation occurred because up and down type quarks were located at opposite ends of the fifth dimension so that CPV couldn't be eliminated from both simultaneously. However, RS requires that all Yukawas be on the IR brane, so it is necessary to generate different phases for the up and down type right handed fields, which can be done by placing them both in the bulk rather than on branes. Because of the strong EDM constraint, we do not allow left handed Standard Model fermions in the bulk but instead sequester them on the IR brane. The reason is that bulk doublets have a vector-like tower of KK modes, so that there exist right-handed fields charged under $SU(2)_L$. Then, there is not only a CKM matrix for the left-handed fields, but an additional CKM matrix for the right-handed fields as well, and $\bar{\theta}$ would be renormalized at one-loop by the diagram in figure 1. We thus consider brane-localized doublet fermions only.
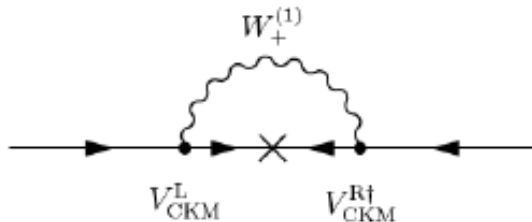
Figure 1: A one-loop contribution to $\bar{\theta}$ in the case where both the doublets and the singlets are in the bulk. We therefore take the doublets to be localized on the brane.

However, as mentioned, models with light fermions localized on the TeV brane face very restrictive constraints from precision electroweak measurements, since the KK gluon wavefunctions are enhanced by a volume factor in the IR. Our strongest constraints will be from these measurements, which puts the scale of KK gauge bosons in our model at about 15-18 TeV. Similar though weaker constraints come from flavor and unitarity of the observed CKM matrix for the zero mode quarks.

It is nonetheless worth noting the interesting flavor physics of this model with bulk right handed fermions but IR-localized left-handed fermions. We will assume a $U(1)^3$ symmetry is preserved by the bulk masses which is broken only by a localized CPV field in the bulk coupling to the bulk singlets through Yukawa couplings. Unlike in "anarchic" models, the brane Yukawa matrices in our model are flavor universal and thus the CKM matrix arises fairly naturally from the different values of the right-handed fermion wave functions, even though their bulk masses are similar and the vev of the CPV field is quite generic. More precisely, we will show that in our setup the Yukawas are given by

$$Y_{u,d} \approx F_{u,d}^{(0)} = \left(\frac{1 + 2\nu_{u,d}}{1 - e^{(1+2\nu_{u,d})k\pi r_c}}\right)^{1-\frac{\phi_0}{\pi}} e^{kr_c g_{u,d}\Phi} \left(\frac{1 + 2\nu_{u,d}}{1 - e^{(1+2\nu_{u,d})k\pi r_c}}\right)^{\frac{\phi_0}{\pi}} \tag{7}$$

where ϕ_0 is the location of the CPV source Φ, with the usual convention that $\phi = 0$ (π) is the UV (IR) brane, and $g_{u,d}$ is the coupling strength of Φ to the bulk fermions. For $\mathcal{O}(1)$ values of $kr_c g_{u,d}\Phi$, this form of the Yukawas generates CKM angles that come dominantly from the down-type Yukawa and are approximately

$$\theta_{ij} \approx \left(\frac{m_{di}}{m_{dj}}\right)^{1-\frac{\phi_0}{\pi}}, \tag{8}$$

which works rather well for reproducing the observed values.

Another nice feature of RS flavor models in general is that the KK mode mass matrix is close to the identity. This helps with CP violating and flavor violating effects. Independently of CP, the flavor model might be worth pursuing, particularly if the stringent Z boson coupling constraints can be alleviated.

Finally, we compare the role of twisting in the model of [12] and our model. Twisting plays an essential role in the first model since both the up and down mass matrices can be diagonalized by different transformations at different points and it is only because of the

different phase rotations required at the different points in the bulk that CP violation occurs. The use of twisting is a little more subtle in our case, where it generates a hierarchy in the CKM angles and furthermore sequesters C violation from the IR brane Yukawa couplings which is essential to suppressing strong CP violation.

3   The Model

3.1   Definition of the Model

RS is defined on a non-factorizable warped geometry with metric

$$ds^2 \;\; = \;\; e^{-2kr|\phi|}\eta_{\mu\nu}dx^\mu dx^\nu + r^2 d\phi^2, \tag{9}$$

where the extra dimension is an S^1/Z_2 orbifold of size r labeled by a coordinate $\phi \in [-\pi, \pi]$,such that the points (x^μ, ϕ) and $(x^\mu, -\phi)$ are identified. At orbifold fixed points at $\phi = 0$ and $\phi = \pi$ lie the UV and IR brane, respectively. Note that this orbifold boundary condition forces either the left or right-handed zero mode of every bulk fermion wavefunction to vanish.

The singlet SM fermions, $(U'_L, U_R)_i$ and $(D'_L, D_R)_i$, come in Dirac multiplets that live in the bulk, while the doublets $(Q_L)_i$, are localized on the brane. Here i is a flavor index and $'$ labels the fermion component whose zero mode is projected out by the orbifold boundary condition. Thus, zero modes exist only for Q_L, U_R, and D_R, and not the primed fields. The Higgs, H, is localized on the IR brane. Finally, we include a CP odd bulk scalar, Φ_{ij}, that is localized at a position ϕ_0 in the bulk and charged under flavor and acquires a complex vev that is the source of all CP phases in the theory. The action for our theory (neglecting kinetic terms) is

$$S \;\; = \;\; S_{\text{bulk}} + S_{\text{brane}}, \tag{10}$$

$$S_{\text{bulk}} \;\; = \;\; \int d^5x \sqrt{G}\left\{ -\bar{U}'_L(M_u + g_u\Phi\delta(\phi - \phi_0))U_R \right. \tag{11}$$

$$\left. -\bar{D}'_L(M_d + g_d\Phi\delta(\phi - \phi_0))D_R + \text{h.c.} \right\}, \tag{12}$$

$$S_{\text{brane}} \;\; = \;\; \int d^4x \sqrt{-g}\left\{ \bar{Q}_L\tilde{H}\lambda_u U_R + \bar{Q}_L H\lambda_d D_R + \text{h.c.} \right\}, \tag{13}$$

where $g_{u,d}$, $\lambda_{u,d}$ are numbers and $M_{u,d}$ are matrices. Note that we have assumed that CP is a valid symmetry in the UV, so *all* of the couplings in this action are manifestly real.

The mechanism for eliminating $\bar{\theta}$ at tree-level relies crucially on the spacetime symmetry, $SO(4,2)$, which constrains the only renormalizable interaction between the CPV source Φ and a bulk fermion Ψ to be of the form $\Phi_{ij}(\bar{\Psi}_{Li}\Psi_{Rj} + \bar{\Psi}_{Ri}\Psi_{Lj})$, so only the hermitian piece of Φ couples to the fermions in the action. If Φ is furthermore CP odd, as we impose, then its couplings are further restricted:

$$\mathcal{L} \;\; \supset \;\; g(\Phi_{[ij]} + \Phi^\dagger_{[ij]})(\bar{\Psi}_{Li}\Psi_{Rj} + \bar{\Psi}_{Ri}\Psi_{Lj})\delta(\phi - \phi_0) \tag{14}$$

After Φ acquires a vev, this will introduce phases and twisting in the fermion wavefunctions.

6

3.2 Zero Mode Wavefunctions

In this section we show that zero modes wavefunctions generically have real determinant in extra dimensional theories and establish our notation for the fermion wavefunctions that we will use in the remainder of the paper. For now, let us consider just a single massive bulk fermion flavor multiplet Ψ_i coupled to a CP-odd bulk scalar Φ; of course, our results will hold for the SM fermions, where $\Psi_R = U_R, D_R$ for the up-like and down-like singlets, respectively. The action is

$$S = \int d^5x\sqrt{G}\left\{\frac{1}{2}i\bar{\Psi}_i\Gamma^A(\partial_A - \overleftarrow{\partial}_A)\Psi_i - \bar{\Psi}_i(M_{ij} + g\Phi_{ij}\delta(\phi - \phi_0))\Psi_j\right\}. \tag{15}$$

After imposing orbifold boundary conditions on the bulk fermions, the KK reduction will contain a vector-like spectrum of KK excitations for all but a single chiral zero mode. As before, *all* the couplings in the above action are real because CP is preserved in the UV. We assume that Φ has some CP respecting potential, $V(\Phi)$, which is therefore even in Φ, that induces spontaneous symmetry breaking, giving Φ a complex vev that sources CP violation in our theory.

We perform a standard KK reduction of the bulk fermions as follows:

$$\Psi_{L,R}(x,\phi)_i = \frac{e^{2kr|\phi|}}{\sqrt{r}}\sum_\alpha F_{L,R}(\phi)_{i\alpha} \times \psi_{L,R}(x)_\alpha. \tag{16}$$

The explicit factor of $e^{2kr|\phi|}$ is conventional, and simplifies the expressions for the normalization conditions of the wavefunctions. Let us consider the KK reduction of Ψ. All of the x dependence lies in the (vector of) dynamical 4D fields ψ, while the ϕ dependence is included in the (matrix of) wavefunctions F. Notice that this matrix has a flavor index i which runs from 1 to 3 as well as a KK mode index α, which runs from 1 to N, where N labels the KK mode at which the model becomes gravitationally strongly coupled. In general, it is very natural to group these KK modes in groups of threes because of the approximate flavor symmetry. For example there will always be three exactly massless zero modes (before electroweak symmetry breaking), which in the case of $\Psi = U_R$ correspond to the right-handed up, charm, and top. Thus, we can parse the mass matrix into a separate 3 by 3 matrix for each KK level:

$$F_{i\alpha} = \left\{\overbrace{\{F_{i1}, F_{i2}, F_{i3}\}}^{\text{zero modes}}, \overbrace{\{F_{i4}, F_{i5}, F_{i6}\}}^{\text{1st excited modes}}, \ldots\right\} \tag{17}$$

$$= \left\{F_{i\alpha}^{(0)}, F_{i\alpha}^{(1)}, \ldots\right\}. \tag{18}$$

Using this notation, it is straightforward to refer to a particular KK mode of a particular generation: for example $F_{i3}^{(1)}$ denotes the 3-vector wavefunction corresponding to the first KK excitation of a third generation fermion. [2] Also, note that in a theory in which all the

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[2] There is some freedom here to choose what we mean by "third generation" of the excited modes, since the mass matrix remains diagonal under permutations of any two fermion modes. One sensible choice would be to rank the "generations" by increasing mass within each KK level. Another reasonable definition would be to demand that as Φ is decreased continuously to zero, the F matrices transform continuously to diagonal matrices. We choose the latter of these, though clearly none of our results depend on this choice.

flavors are decoupled from each other, $F^{(n)}$ is simply a diagonal matrix. In a theory in which the flavors are degenerate, $F^{(n)}$ is proportional to the identity matrix.

For each KK fermion $(\psi_{L,R})_\alpha$, its wavefunction $(F_{L,R})_{i\alpha}$ obeys the first order wave equation

$$\left(\pm\frac{1}{r}\partial_\phi - (M + g\langle\Phi\rangle\delta(\phi-\phi_0))\right)_{ij}(F_{L,R})_{j\alpha} = -e^{kr|\phi|}m_\alpha(F_{R,L})_{i\alpha} \tag{19}$$

where m_α is the KK mass. Going to a more convenient variable [11], $t = \epsilon e^{kr|\phi|} \in [\epsilon, 1]$, where $\epsilon = e^{-kr\pi} = 10^{-16}$, rescaling $F_{L,R}(\phi) \to \sqrt{kr\epsilon}F_{L,R}(t)$, and defining

$$\mu_{ij} = \frac{1}{k}(M + gkrt\langle\Phi\rangle\delta(t-t_0))_{ij}, \tag{20}$$

$$x_\alpha = \frac{m_\alpha}{\epsilon k}. \tag{21}$$

Now because of the orbifold boundary condition, we are forced to set either the zero mode for Ψ_L or Ψ_R to vanish at the orbifold fixed points $t = \epsilon, 1$. Assuming we choose to eliminate the right-handed zero mode, the left-handed zero mode then satisfies the wave equation and orthonormality condition

$$\left(\partial_t - \frac{\mu}{t}\right)F_L^{(0)} = 0 \tag{22}$$

$$\int_\epsilon^1 dt F_L^{(0)\dagger}F_L^{(0)} = \int_\epsilon^1 dt F_R^{(0)\dagger}F_R^{(0)} = \mathbf{1}, \tag{23}$$

where we have suppressed flavor indices. Equation 22 can be formally integrated to obtain

$$F_L^{(0)} \propto \mathcal{P}\exp\left(\int_\epsilon^t \frac{\mu(t')}{t'}dt'\right), \tag{24}$$

where $\mathcal{P}$ denotes path ordering with respect to t. The proportionality constant in 24 is a constant hermitian matrix. We have chosen the lower bound on the integral in 24 to be ϵ so that the normalization matrix is close to the identity for UV localized zero modes, and thus does not have a qualitative effect on any of our discussions. We do of course include it in all of our numeric computations. Because μ is Hermitian, we see that $F_L^{(0)}$ is a product of infinitesimal Hermitian matrices, so it has real determinant. Thus, extra dimensions generically yield zero mode matrix wavefunctions which have real determinant.

3.3   Approximate Dependence of CKM angles on t_0

Consider now the structure of the observed Yukawa matrices if we assume that Φ has sufficiently large entries to completely scramble the entries of any matrix that it multiplies. Let us split the path-ordered integral in equation 24 into three pieces:

$$F^{(0)}(1) = F(1;t_0) \times \exp(krg\Phi) \times F(t_0;\epsilon)$$

$$F(1;t_0) \equiv \left[\mathcal{P}\exp\left(\int_{t_0}^1 \frac{\mu}{t'}dt'\right)\right] = t_0^{-\nu}$$

$$F(t_0;\epsilon) \equiv \left[\mathcal{P}\exp\left(\int_\epsilon^{t_0} \frac{\mu}{t'}dt'\right)\right] = \left(\frac{\epsilon}{t_0}\right)^{-\nu} \tag{25}$$

8

where $\nu = M/k$ is a constant matrix. Then, $L_{u,d}$ restricted to the zero modes approximately diagonalizes

$$Y_{u,d}Y_{u,d}^\dagger \;\; = \;\; F_{u,d}(1;t_0)e^{krg\Phi}F_{u,d}(t_0;\epsilon)F_{u,d}(t_0;\epsilon)^\dagger e^{krg\Phi}F_{u,d}(1;t_0)^\dagger \tag{26}$$

Assume for simplicity that the nonzero entries of Φ are not so large that they contribute to the hierarchy of the fermion wavefunctions on the TeV brane; one could of course consider more general cases. Since $e^{krg\Phi}$ completely mixes flavor, the above expression has the basic structure $(Y_{u,d}Y_{u,d}^\dagger)_{ij} \approx F_{u,d}(1;t_0)_{ik}\zeta_{kk'}F_{u,d}(1;t_0)_{k'j}^\dagger$ with $\zeta_{kk'} \sim \mathcal{O}(1)$, which leads to mixing angles of size $\theta_{ij} \approx (m_i/m_j)^{-(\log t_0)/(k\pi r_c)}$ since $F(1;t_0)$ contains only a fraction $\log t_0/\log \epsilon$ of the entire fermion mass hierarchy. Because the down-type masses are less hierarchical, they will give larger contributions to the CKM angles than the up-type masses will. Then, we are left to ask whether there is a value for t_0 such that $(m_d/m_s)^{-(\log t_0)/(k\pi r_c)} \sim \lambda_C$ and $(m_s/m_b)^{-(\log t_0)/(k\pi r_c)} \sim \lambda_C^2$, where $\lambda_C = |V_{us}| = 0.23$. For instance, running the quark masses up to the scale $Q = 10$ TeV allows $m_d = 3.5 MeV, m_s = 30 MeV$, and $m_b = 2.2$ GeV [22] within experimental uncertainty, and these values satisfy $(m_d/m_s)^2 \approx (m_s/m_b)$. The further condition $(m_d/m_s)^{-(\log t_0)/(k\pi r_c)} = 0.23$ implies $t_0 \approx 4 \times 10^{-11}$. Such a value puts the CPV source very far in the UV and therefore will have a very small effect on the excited mode wavefunctions. Of course deviations from complete genericity are possible but as we will see unnecessary.

3.4   Specific Values

For concreteness, we present here a specific choice of Φ and bulk masses that roughly reproduces the Standard Model fermions masses and KM matrix.

$$
\begin{aligned}
\nu_U &= (-0.831, -0.665, -0.241) \\
\nu_D &= (-0.788, -0.734, -0.632) \\
\langle kr\Phi \rangle &= \begin{pmatrix} 0 & 1.039i & -1.342i \\ -1.039i & 0 & 1.481i \\ 1.342i & -1.481i & 0 \end{pmatrix} \\
g_u &= 0.3 \\
g_d &= 0.7 \\
t_0 &= 10^{-12}
\end{aligned}
\tag{27}
$$

These numbers are not intended to be an indication of what parameters are most expected given some prior distribution. Rather, we want to indicate what is possible with $\mathcal{O}(1)$ UV parameters. We set the KK scale $\mu_{\text{TeV}} \equiv \epsilon k$ to be $15v$, where v is the Higgs vev 246 GeV, so that the KK gauge bosons are at ~ 9 TeV, in order to demonstrate that $\bar{\theta}$ is sufficiently small in this case. As we discuss in section C, electroweak constraints force μ_{TeV} to be around $20v$, so that $\bar{\theta}$ does not provide the strongest constraint on the model.

We obtain the following quark masses for the zero mode and first excited modes:

$$
\begin{aligned}
m_u &= (\text{ 1.6 MeV, 420 MeV, 176 GeV, 9.8 TeV, 10.3 TeV, 10.7 TeV}) \\
m_d &= (\text{ 3.5 MeV , 41 MeV, 2.1 GeV, 9.6 TeV, 10.1 TeV, 10.4 TeV})
\end{aligned}
\tag{28}
$$

The absolute value of the KM matrix entries are

$$
\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{pmatrix} = \begin{pmatrix} 0.982 & 0.185 & 0.0091 \\ 0.185 & 0.980 & 0.046 \\ 0.012 & 0.045 & 0.998 \end{pmatrix}
\tag{29}
$$

and the rephasing invariant $\Delta^{(4)} \equiv \text{Im}(V_{11}V_{12}^{\dagger}V_{22}V_{21}^{\dagger}) = 7.6 \times 10^{-5}$ Because of mixing with the excited modes, the CKM matrix for the zero modes is not exactly unitary. The first and second rows satisfy

$$
\begin{aligned}
|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1 &= -0.0021 \\
|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 - 1 &= -0.0024
\end{aligned}
\tag{30}
$$

which is at the limit of current bounds on unitarity [2] [3] and decreases proportional to $1/m_{\text{KK}}^2$. This is further enhanced by $\sum_{n=1}^{\infty} n^{-2} = \pi^2/6$ when we include several higher KK modes.

3.5   Fermion Wavefunction Dependence on the Bulk Masses

The dependence of the KK fermion wavefunctions on their bulk masses will be important for all of our phenomenological constraints. In particular, as we will now discuss, the value of the KK fermion wavefunctions in the IR is very insensitive to flavor violation from sources in the bulk, and especially in the UV.

Most of the qualitative features of the twisted excited mode wavefunctions can be understood in the absence of twisting. The method we use for solving the wavefunctions with and without twisting is reviewed in appendix A. To begin, we note that in the single-generation case, the left-handed mode wavefunctions are given approximately by

$$
f_L(t) = \begin{cases} \dfrac{\sqrt{2t}J_{-\frac{1}{2}+\nu}(xt)}{J_{-\frac{1}{2}+\nu}(x)}, & \nu > -\frac{1}{2} \\[2ex] -\dfrac{\sqrt{2t}J_{\frac{1}{2}-\nu}(xt)}{J_{\frac{1}{2}-\nu}(x)}, & \nu < -\frac{1}{2} \end{cases}
$$

except for the zero mode, for which f_R vanishes and it is easy to solve for f_L:

$$
f_L^0 = \sqrt{\frac{1+2\nu}{1-\epsilon^{1+2\nu}}} t^{\nu}
\tag{31}
$$

An important point is that the wavefunctions of the excited modes depend weakly on the values of the ν's, which are the source of flavor-breaking. Indeed, up to $\mathcal{O}(\epsilon)$ corrections, the KK modes at the TeV brane are all $\sqrt{2}$, independent of the value of ν. This plays an important role in suppressing flavor-violation, since it reduces the flavor-violation in the KK mode wavefunctions.

The reason for this is that the behaviour near the UV boundary approximately fixes the phase of the f_L modes, so that there is no ν-dependent phase shift, as there would be if we had constant bulk masses ν in flat space. This follows from the fact the f_L are a linear combination of a regular mode and a singular mode in the $t \sim \epsilon$ region, and the regular mode

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[3]See their section 11.4

10

vanishes at $t \to 0$. The singular mode is therefore very suppressed, and the turnaround point (where $f'_L(t) = 0$) from singular to regular mode occurs at very small t:

$$t_{\text{turnaround}} = \left(\frac{4\nu^2 - 1}{x^2} \epsilon^{-2\nu-1} \right)^{\frac{1}{1-2\nu}} \qquad (\nu < -\frac{1}{2}) \tag{32}$$

so in the warped space case, the phase shift due to ν is small and suppressed by powers of ϵ. Another difference is that there is less variation required among the ν's in warped space, because of an enhancement from $kr_c \sim 10$. The zero modes at the IR brane in warped space are

$$f^{(0)}(t = 1) = \sqrt{\frac{2\nu + 1}{1 - e^{-(2\nu+1)kr_c\pi}}} \tag{33}$$

$$\approx \sqrt{|2\nu + 1|} e^{-|\nu+\frac{1}{2}|kr_c\pi} \qquad (\nu < -\frac{1}{2}) \tag{34}$$

and therefore we need $\nu + \frac{1}{2}$'s of size $\frac{1}{kr_c\pi} \log((m_t/m_u)^{1/2}) = 0.17$. This might perhaps be considered a little more tuned than in flat space, since the ν's need to fall close to $-\frac{1}{2}$ in warped space. However, once the ν's are fixed from experimental constraints, the KK mode wavefunctions are more flavor universal which leads to much weaker phenomenological constraints.

4 Interactions in the KK Reduced Theory

4.1 Yukawa Interactions

In this subsection we determine the flavor structure of the Yukawa interactions. In particular, we will show that just like the charged W interactions, the Yukawas can be written entirely in terms of the CKM matrix.

To begin, we plug back in to Eq. (10), and find that the effective 4D Yukawa interactions between zero modes become [4]

$$S_{\text{4D Yukawa}} = \int dx^4 \sqrt{-g} \left\{ \bar{q}_L \tilde{h} Y_u^{(0)} u_R^{(0)} + \bar{q}_L h Y_d^{(0)} d_R^{(0)} \right\}, \tag{35}$$

where $Y_u^{(0)}$ and $Y_d^{(0)}$ are defined by

$$Y_u^{(0)} = \lambda_u F_u^{(0)}|_{\phi=\pi}, \tag{36}$$

$$Y_d^{(0)} = \lambda_d F_d^{(0)}|_{\phi=\pi}. \tag{37}$$

and $\lambda_{u,d}$ are just real numbers. As we showed explicitly in the previous section, in extra dimensional scenarios, zero mode wavefunctions $F_{u,d}^{(0)}$ are complex but have real determinant. Thus, from Eq. (2) we see that $\bar{\theta}$ vanishes at tree-level while CP violation in the weak interactions does not. In order to calculate the radiative corrections to this tree-level result,

---

[4]Here we denote fields in the KK reduced description by lower-case letters, as in equation 16. For example, $u_R^{(i)}$ is in the KK tower of the 5D field U_R, while H and h are the same since H is a brane-localized field in the 5D description.

we need to work out the interactions of the effective theory. The KK tower of fermions has interactions that are simple extensions of those of the Standard Model, though with some non-trivial consequences. In the SM, the only source of masses is the Yukawa interactions, and so going to the mass eigenbasis pushes all CP violation into a single unitary KM matrix in the gauge kinetic terms. In contrast, extra dimensional models contain Yukawa interactions that mix different KK levels, as well as KK mass eigenvalues from the dimensional reduction.

Let us consider the effective 4D mass matrix, limiting the following discussion to the zero modes and first excited modes. Our arguments can be extended easily to the entire KK tower. We will group the left and right-handed up-like modes together

$$u_L = (u_L^{(0)}, u_L^{'(1)}), \tag{38}$$
$$u_R = (u_R^{(0)}, u_R^{(1)}), \tag{39}$$

where each is a vector of six fields: three for the zero mode, and three for first excited modes. The "zero" mode for u_L is just the brane-localized up-type component of the doublet q_L, whereas $u_R^{(0)}, u_L^{'(1)}, u_R^{(1)}$ are zero and KK modes of the bulk field U_R (recall that there are no bulk q_L's). Note that the ordering has been chosen so that the mass matrix is approximately diagonal in this basis. The effective 4D mass matrix receives contributions from Yukawa interactions and KK masses:

$$S_{\text{4D mass}} \supset \int d^4x \left\{ \bar{u}_L M_u u_R \right\}, \tag{40}$$
$$M_u = vY_u + m_u, \tag{41}$$
$$Y_u = \begin{pmatrix} F_u^{(0)} & F_u^{(1)} \\ 0 & 0 \end{pmatrix}, \tag{42}$$
$$m_u = \begin{pmatrix} 0 & 0 \\ 0 & m_u^{(1)} \end{pmatrix} \tag{43}$$

where m_u is a diagonal matrix containing the excited mode masses that arise from the KK reduction in the absence of the Higgs interactions. We will refer to this basis as the "KK basis". Moreover, unlike in the SM, since the Y_u does not commute with M_u, the Yukawa matrix for the KK tower is not diagonal in the mass eigenbasis.

Defining Y_d, M_d, m_D analogously, we perform bi-unitary transformations that diagonalize the mass matrices:

$$L_u M_u R_u^\dagger = \mu_u, \tag{44}$$
$$L_d M_d R_d^\dagger = \mu_d, \tag{45}$$

where $\mu_{u,d}$ are the (real and diagonal) physically observable fermion mass matrices. The Yukawas in the mass eigenbasis can be slightly simplified as follows. It will be useful here and throughout to define the projection matrix onto zero modes:

$$P_0 \equiv \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \tag{46}$$

It is clear that $vY_{u,d} = P_0 M_{u,d}$. The transformation of this equation into the mass eigenbasis

takes the form

$$vL_uY_uR_u^\dagger = L_u \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} L_u^\dagger \mu_u$$

$$vL_dY_dR_d^\dagger = L_d \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} L_d^\dagger \mu_d \tag{47}$$

Notice the presence of only L's on the RHS. This has the important consequence, mentioned in the introduction, that flavor-changing in the W and Higgs interactions is controlled by a single CKM matrix

$$V_{\mathrm{CKM}} = L_u P_0 L_d^\dagger \tag{48}$$

$$V_{uu} = L_u P_0 L_u^\dagger = V_{\mathrm{CKM}} V_{\mathrm{CKM}}^\dagger \tag{49}$$

$$V_{dd} = L_d P_0 L_d^\dagger = V_{\mathrm{CKM}}^\dagger V_{\mathrm{CKM}} \tag{50}$$

Equation 47 can therefore be rewritten as

$$vY_{u,d} = V_{uu,dd}\mu_{u,d} \tag{51}$$

for interactions with neutral Higgses. We will find it convenient to work in a gauge where the longitudinal modes of $W^\pm$ are kept explicitly as the goldstone modes $h^\pm$. In the mass eigenbasis, the $h^\pm$ interactions satisfy $vY_d = V_{\mathrm{CKM}}\mu_d, vY_u = V_{\mathrm{CKM}}^\dagger \mu_u$.

This is very similar to the Standard Model, where all flavor-changing is controlled by a single CKM matrix. In particular, this is a sufficient condition for radiative corrections to $\bar{\theta}$ and dimension-5 EDM operators to vanish at 1-loop, as we will discuss in section 5.2. We note that, unlike in the Standard Model, V_{CKM} is not unitary. However, in the limit that the KK masses are large, mixing between the zero modes and KK modes approaches zero. In this limit, V_{CKM} restricted to the KK modes vanishes, and restricted to the zero modes is exactly the unitary CKM matrix of the Standard Model.

The structure of the mass matrices has an interesting and important consequence for the mixing between zero modes and KK modes. Compared to models with doublets localized in the UV, the mixing between the doublet zero modes and KK modes is greatly enhanced, while that between singlet zero modes and KK modes is greatly suppressed. The reason is that L_u diagonalizes $M_u M_u^\dagger$ while R_u diagonalizes $M_u^\dagger M_u$, and these have different orders of magnitude in the off-diagonal components between zero modes and KK modes. More precisely, $M_u M_u^\dagger$ has zero-mode-KK-mode mixing from the block matrix $vF_u^{(1)}M_u^{(1)}$ while $M_u^\dagger M_u$ has zero-mode-KK-mode mixing from the block matrix $(v^2 F_u^{(0)\dagger}F_u^{(1)})$. As we review in section A.1, the size of excited mode wavefunctions in RS in is $F_u^{(1)} \sim \sqrt{2}$. Thus, the mixing for the doublets is therefore of the order $(L_u)_{ij} \sim \sqrt{2}v/m_{\mathrm{KKf}}$, while that for the singlets is of the order $(R_u)_{ij} \sim \sqrt{2}(vm_0/m_{\mathrm{KKf}}^2)$, where m_0 is the zero-mode mass[5].

---

[5] We have introduced the notation m_{KKf} to indicate the mass of KK fermions and m_{KKg} for the gauge bosons.

5 CP and Flavor Constraints

5.1 Contributions to Φ interactions from Higher-dimensional Operators

The vanishing of $\bar{\theta}$ at tree-level depended crucially on the form of the fermion couplings to Φ. For this reason, one might worry that higher-dimensional operators could destroy this result. This is not the case, however. The only higher-dimensional interaction Φ can have with a fermion bilinear other than $\bar{\Psi}\Psi$ is through

$$\mathcal{L} \supset F(\Phi)_{ij} D_M \bar{\Psi}_i \Gamma^M \Psi_j \tag{52}$$

This does not contribute to the Ψ wavefunction for the following reason. Since Φ vanishes on the boundary, all boundary terms involving Φ vanish as well. Under the assumption that $\langle\Phi\rangle$ and the bulk masses (the only sources of flavor symmetry breaking in our model) are generated spontaneously, then $D_M \bar{\Psi}_i \Gamma^M \Psi_j$ is the divergence of a current that is conserved up to a chiral anomaly on the boundary [37]. Since the Φ field vanishes on the boundary, and $D_M J_{ij}^M$ vanishes off the boundary, these higher dimensional operators do not contribute to the fermion wavefunctions [6].

In addition, one might worry that Φ could couple directly to $G\tilde{G}$ through higher dimensional operators of the form

$$\mathcal{L} \supset G_{AB} G_{CD} D_E F(\Phi) \epsilon^{ABCDE}, \tag{53}$$

where $F(\Phi)$ is a function of Φ and the form of this operator is again constrained by the 5D AdS isometries. However, restricting to the gluon zero modes, which have flat wavefunctions, this operator is a total derivative of Φ and therefore integrates to a vanishing boundary term in the low-energy theory.

Finally, as we have already mentioned in the introduction, sequestering Φ from the TeV brane eliminates the higher-dimensional operator $\Phi_{ij}\bar{Q}_{Li}HU_{Rj}$.

5.2 The EDM Operator and $\bar{\theta}$ at One Loop

As we saw in section 4, extra dimensional models generate Hermitian bulk fermion wavefunctions which force $\bar{\theta}$ to vanish at tree level. In this section, we show that by also taking the quark doublets to be brane-localized, we can eliminate $\bar{\theta}$ (and any EDMs) at one loop level.

To begin, let us consider the higher dimension operator

$$\mathcal{O}_{EDM} \;\; = \;\; \frac{\bar{d}_L \gamma^{\mu\nu} \tilde{F}_{\mu\nu} d_R}{\Lambda_{EDM}}, \tag{54}$$

which is phenomenologically relevant for the neutron EDM, and is constrained by experiment to be $d_n < 10^{-24} e$ cm [24].

---

[6]Strictly speaking, the brane Yukawas explicitly break the $U(3)^3$ flavor symmetry corresponding to this current down to the diagonal subgroup. However, this breaking occurs on the TeV brane, sequestered from the Φ field, and is therefore not a problem.

In the past this EDM operator has been quite constraining for models embedded in warped backgrounds. For example, in [25] the authors assume anarchic brane Yukawas along with bulk doublet and singlet fermions, and as a result the EDM operator is generated at one loop via a virtual Higgs. Consequently, the KK gauge boson masses are constrained to be $\gtrsim 10$ TeV for that model. As we will now show, with the quark doublets on the IR brane, this one loop contribution vanishes.

Even without evaluating the one loop diagram, we can immediately see that this contribution explicitly vanishes because its corresponding spurion vanishes. The spurion for the down-type quark EDMs is fixed by flavor symmetries to be

$$d_i \quad \propto \quad \text{Im} \left(L_d (Y_u (M_u^\dagger M_u)^n M_u^\dagger + Y_d (M_d^\dagger M_d)^n M_d^\dagger) Y_d R_d^\dagger \right)_{ii}, \qquad n = 0, 1, \dots \quad (55)$$

where the ii subscript labels the down-type quarks (zero and excited modes). Also, here n denotes some number of mass-squared insertions.

This contribution vanishes for essentially the same reason that it vanishes in the Standard Model. Using equations 44-51, we see that the above term is

$$d_i \quad \propto \quad \text{Im} \left(V_{\text{CKM}}^\dagger \mu_u^{2n+2} V_{\text{CKM}} \mu_d + V_{dd} \mu_d^{2n+2} V_{dd} \mu_d \right)_{ii} \qquad n = 0, 1, \dots \quad (56)$$

It follows from the fact that $V_{ij} V_{ji}^\dagger = |V_{ij}|^2$ is real for any matrix V (no sum on i, j implied) that the above term is also real.

Actually, the vanishing of EDMs at one loop also implies the vanishing of $\bar{\theta}$ at one loop. This is important because in most models of spontaneous CP breaking, even if $\bar{\theta}$ vanishes at tree level, one-loop radiative corrections force the scale of CP breaking up to near the GUT scale. To see that IR brane-localized fermions remedy this, recall that [29]

$$\bar{\theta} \quad = \quad \arg \det(M_u + \delta M_u) \det(M_d + \delta M_d)$$
$$\approx \quad \text{ImTr} \left(\delta M_u / M_u + \delta M_d / M_d \right) + \dots, \quad (57)$$

where the δ's denote contributions from one loop corrections, and we have assumed that $\arg \det(M_u M_d)$ vanishes because of hermitian bulk fermion wavefunctions. the EDM operator, the contribution to $\bar{\theta}$ from, say the down-type quarks, is proportional to a spurion:

$$\bar{\theta} \quad = \quad \text{ImTr} \left((Y_u (M_u^\dagger M_u)^n M_u^\dagger + Y_d (M_d^\dagger M_d)^n M_d^\dagger) Y_d M_d^{-1} \right), \qquad n = 0, 1, \dots \quad (58)$$

$$= \quad \sum_i \frac{d_i}{(\mu_d)_i} = 0. \quad (59)$$

Contributions to the EDMs and $\bar{\theta}$ from one loop diagrams with KK $U(1)_Y$ bosons vanish via similar arguments. Moreover, loops of $SU(2)$ weak gauge bosons do not contribute because they do not couple to right-handed fields. Again, this is only the case because the left-handed doublets are IR-localized. If this were not the case, then weak bosons would couple to their right-handed bulk partners and contribute at one loop.

5.3 $\bar{\theta}$ at Two Loops from W's and Higgses

Thus, we have shown that the leading contributions to the EDMs and $\bar{\theta}$ enter at two loops. At this loop level, an evaluation of $\bar{\theta}$ becomes far more complicated because of the proliferation

of diagrams, and so we find it useful to first analyze the contributions in terms of their spurions.

We will address diagrams with KK gluon and hypercharge boson (B) contributions in the next subsection. Such contributions are sufficiently small only in the small t_0 limit where the KK fermion wavefunctions are diagonal. We first analyze the contributions from diagrams with $W^\pm, W^3$, and Higgses, and zero mode gluons and B's. In this case, the vertices are all controlled by a single generalized CKM matrix V_{CKM}, and their contributions to $\bar\theta$ are sufficiently small even for $t_0 \sim 1/100$.

To begin, we note that any diagram renormalizing $M_{u,d}$ necessarily ends with a right-handed fermion line following an interaction with a Higgs or a neutral gauge boson (e.g. gluon or $U(1)_Y$ KK mode, $B^{(1)}$). However, the zero mode gluon and B interactions are flavor-respecting so we can ignore their contributions. Also, we note that the right-most interaction cannot be with the $W^\pm, W^3$ or their KK excitations because these fields do not couple to any right-handed fields.

Thus the dominant loop contributions to $\bar\theta$ will be from virtual W and h exchange, and the final vertex insertion should be a Yukawa. We have already seen in section 4.1 that all W and Yukawa contributions are formed out of quark masses and the (generalized) CKM matrices:

$$V_{\text{CKM}} = L_u P_0 L_d^\dagger \tag{60}$$
$$V_{uu} = V_{\text{CKM}} V_{\text{CKM}}^\dagger \tag{61}$$
$$V_{dd} = V_{\text{CKM}}^\dagger V_{\text{CKM}}. \tag{62}$$

For example, in mass eigenstate, the Yukawa interaction between two up-type quarks and a Higgs field can be written as $L_u Y_u R_u^\dagger = L_u P_0 L_u^\dagger L_u M_u R_u^\dagger / v = V_{uu} \mu_u / v$. Note that written in terms of V and μ, each Higgs propagator is necessarily accompanied by two factors of $1/v$.

It is important to notice that the right-handed transformation matrices $R_{u,d}$ do not explicitly appear anywhere, since in mass eigenstate basis they are all absorbed into mass insertions. This implies that the contributions to $\bar\theta$ can be written completely in terms of the fermion masses, the Higgs vev v, the generalized CKM's $V_{uu}, V_{dd}, V_{\text{CKM}}$, and propagators. No other terms appear. We emphasize the similarity of the above simplification to that of the Standard Model, where all CP and flavor violation appears through the CKM matrix and quark masses. Nonetheless, there are two essential differences that complicate the analysis: 1) there are heavy KK fermions above the W and h masses so additional factors of their masses do not suppress the overall contribution, and 2) the CKM matrix is not unitary because the doublet zero modes mix with left-handed KK singlets. Thus, $\bar\theta$ renormalization occurs at two-loops instead of three-loops as in the SM.

There are four distinct topologies of two-loop diagrams with W and/or Higgs loops that contribute to $\bar\theta$, as shown in figure 2: two Higgs lines, either nested or crossed, and a Higgs line and a W line, either nested or crossed. The actual size of the largest contributions depends on how close the excited mode wavefunctions are to being proportional to the identity matrix, and therefore on the position t_0 of the CPV field.

Contributions to $\bar\theta$ are IR convergent, and dominated by momenta $\sim m_{\text{KKf}}$. It is straightforward though somewhat laborious to calculate numerically the size of contributions to $\bar\theta$ from W, h loops. The largest contribution comes from the crossed higgs diagram with a charged Higgs and a neutral Higgs, and a helicity flip on the first fermion line. This contri-
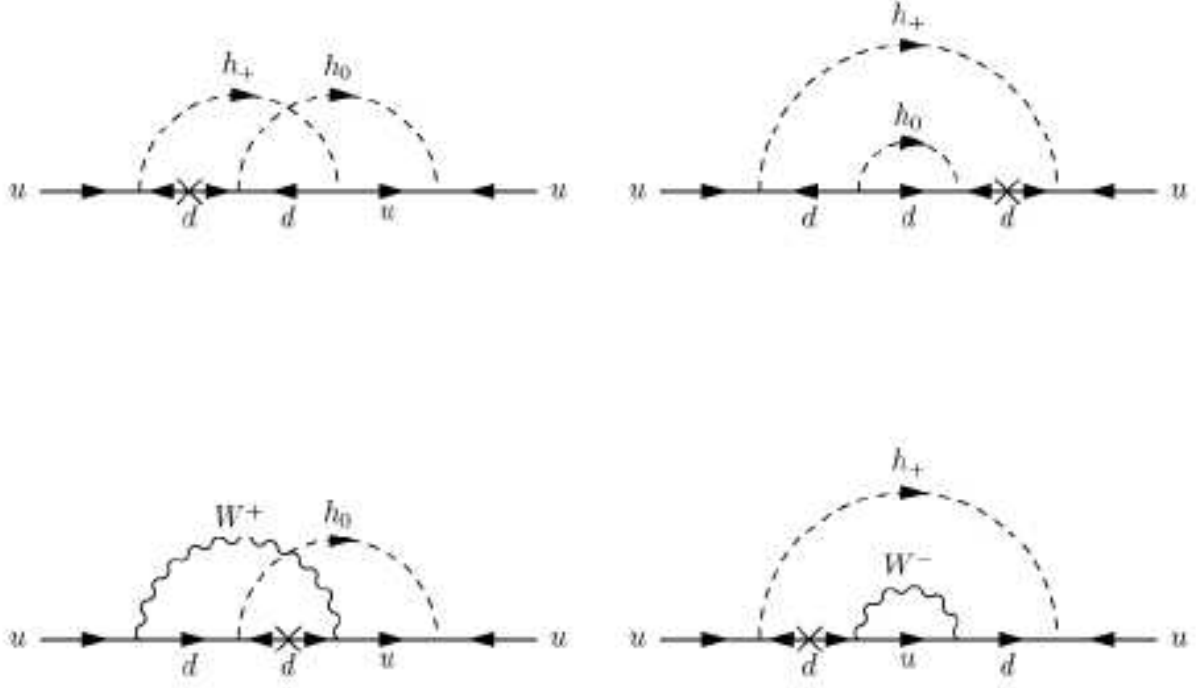
16

Figure 2: There are four topologies of two-loop diagrams that give the dominant contribution to $\bar{\theta}$, shown above. The largest of these corrections come from the crossed-neutral-higgs-charged-higgs (upper left).

bution is given schematically by the two loop integral: [7]

$$\delta\bar{\theta} = v^{-4}\text{Tr}\int (d^4p)^2 V_{f_1f_2}\frac{m_{f_2}^2}{p^2 - m_{f_2}^2}V_{f_2f_3}\frac{(p^\mu\gamma_\mu - m_{f_3})m_{f_3}^2}{p^2 - m_{f_3}^2}V_{f_3f_1}\frac{p^\mu\gamma_\mu - m_{f_1}}{p^2 - m_{f_1}^2}\left(\frac{1}{p^2 - m_h^2}\right)^2,$$

$$(63)$$

where the f_i run over the zero and excited mode fermions. Since f_i run over up-like and down-like quarks, V denotes the appropriate generalized CKM matrix for its given indices. For example, $V_{f_1f_2}$ where f_1 and f_2 are both up-like denotes the f_1f_2 component of V_{uu}, etc. Here we have chosen to completely ignore the momentum structure of the fermion propagators, choosing instead to emphasize the parametric dependence of the integral. Also, note the two factors m_{f_2} in the first propagator, one of which arises from the mass insertion, and the other which arises from the rewriting a Yukawa vertex as a CKM multiplied by a mass. Both factors of m_{f_3} come from this rewriting of neighboring Yukawa interactions. As in any diagram with two Higgs loops, there is a factor of $1/v^4$. All diagrammatic contributions will go parametrically as products of masses and generalized CKMs.

The largest contribution from equation (63) comes from when $f_{1,2}$ are down-like and f_3 is up-like (otherwise one requires three different generations to get a phase and therefore more mixing angles). Thus, the three V's in the diagram are V_{ud}, V_{dd}, and V_{du}. If any of the masses in the numerator come from zero modes, then this contribution is immediately very

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[7]The following expression has only *three* interactions because we have canceled away the factor of $Y_{u,d}M_{u,d}^{-1}$ in the $\bar{\theta}$ expression

suppressed. As a result, since the contribution is maximal, then $f_{2,3}$ must be KK modes. Consider first the possibility that the remaining fermion line is also a KK mode. Then, the entire diagram is suppressed by (at least)

$$\delta\bar{\theta} \;\lesssim\; (m_{\mathrm{KKf}}/v)^4 \,\mathrm{Im} \sum_{f_{1,2,3}\in\mathrm{KKf}} \frac{1}{(4\pi)^4}(V_{ud})_{f_1 f_2}(V_{dd})_{f_2 f_3}(V_{du})_{f_3 f_1}, \tag{64}$$

where the fermions are summed only over KK modes. As it turns out, this on its own is enough to be below experimental bounds. When f_i, f_j are KK modes, $V_{f_i,f_j} \sim (v/m_{KKf})^2$, as follows from the fact that $V = LP_0 L^\dagger$ and L contains zero-mode-KK-mode mixing of order v/m_{KKf}. This suppression and the loop factor just by themselves already result in a suppression of $(1/4\pi)^4 (m_{\mathrm{KKf}}/v)^4 (v^2/m_{\mathrm{KKf}}^2)^3 \sim 3 \times 10^{-7}$. There is additional suppression from the fact that $f_{1,2,3}$ must all be different, or else the product of V's has no imaginary piece. This results in a suppression from mixing between generations. We compute this suppression numerically. It is due to the fact that the KK mode wavefunctions $F_{u,d}^{(1)}$ become flavor-symmetric as $t_0 \to 0$. We find $V_{f_1 f_2}/V_{f_1 f_1}$ decreases to zero approximately as $t_0^{1/2}$ (or faster in some cases) and thus one requires only $t_0 \lesssim 10^{-4}$ in order to put the above corrections to $\bar{\theta}$ safely below experimental constraints. Finally, there is an additional suppression beyond what is contained in equation (64), due to a partial cancellation from the interchange of two KK modes. That is, $(V_{ud})_{f_1 f_2}(V_{dd})_{f_2 f_3}(V_{du})_{f_3 f_1} + f_1 \leftrightarrow f_2$ is real. If the KK mode masses were exactly equal, this cancellation would be exact; as it is, it implies only a cancellation of about $|(m_{f1} - m_{f2})/m_{f1}| \sim 1/10$.

Similar but slightly different considerations imply that the contribution with a single zero mode internal quark is roughly the same size. That is, say $f_1 = (u, c, t)$. As before, the dominant contribution must have two different KK modes or the phases cancel. There is also a quite drastic suppression from the fact that the zero mode masses are much smaller than the typical momenta, so the zero mode propagators are approximately flavor respecting. Summing over them therefore approximately removes phases. In particular, this means that the contribution from a single zero mode internal quark is approximately equal to the contribution from all KK mode quarks, since the sum $\sum_j (V_{du})_{KK_1,j}(V_{ud})_{j,KK_2}(V_{dd})_{KK_2,KK_1} = (V_{du}V_{ud})_{KK_1,KK_2}(V_{dd})_{KK_2,KK_1}$ is real. Putting in the weak m-dependence in the fermion propagators then implies that these two contributions are roughly equal in magnitude rather than exactly equal in magnitude (and opposite in sign). So the contributions with zero mode internal quarks are small as well. We therefore find that the size of radiative corrections to $\bar{\theta}$ from W and higgs loops is

$$\delta\bar{\theta} \;\sim\; 3 \times 10^{-8} t_0 \tag{65}$$

We note that this result applies when the $SU(2)_L$ gauge group is confined to the IR brane. When $SU(2)_L$ is in the bulk, there are W KK gauge bosons that couple more strongly to the TeV brane by a factor of $\sqrt{2kr_c\pi}$ than the zero mode KK gauge bosons do. Hence the coupling is enhanced by a factor of $g_w\sqrt{2kr_c\pi}$ over the Yukawa interactions, and the radiative corrections are approximately

$$\delta\bar{\theta} \;\sim\; 2 \times 10^{-6} t_0 \tag{66}$$

which is below the bound for $t_0 \lesssim 10^{-4}$. In the next section, we will consider bigger contributions to $\bar{\theta}$. The arguments in this section relied upon the fact that all CPV was controlled

in the Higgs and W interactions by a single V_{CKM} matrix. However, the KK gluons introduce new sources of CPV that are not controlled by a single V_{CKM} matrix. In the next section, we will provide a different analysis of the two-loop corrections to $\bar{\theta}$ that will be completely general and applies to all two-loop radiative corrections, gluons as well as W and Higgs, but which is valid only in the small t_0 limit.

5.4 $\bar{\theta}$ from Flavor Spurions

In this subsection we present the estimate of the contributions to $\bar{\theta}$ in the small t_0 limit from two loop diagrams containing neutral currents. The estimate is based on a flavor spurion analysis we describe in detail in appendix B. Our method is also applicable to diagrams containing charged currents.

In the small t_0 limit, the full mass matrices take the form

$$M_{u,d} \;\; = \;\; \begin{pmatrix} F_{u,d}^{(0)} & \sqrt{2}\mathbf{1} \\ \mathbf{0} & M_{u,d}^{(1)} \end{pmatrix} \tag{67}$$

All of the flavor-mixing effects manifestly arise from the $F_{u,d}^{(0)}$ matrices. For convenience, we define $f_{u,d}^{(0)} = L_{u,d}^{(0)} F_{u,d}^{(0)} R_{u,d}^{(0)\dagger}$ as the matrix of eigenvalues of $F_{u,d}^{(0)}$, proportional to the zero mode masses. It is straightforward to compute numerically that the left-handed diagonalization matrices $L_{u,d}$ for the full mass matrix then take the form

$$L_{u,d} \;\; = \;\; \begin{pmatrix} L_{u,d}^{(0)} & -L_{u,d}^{(0)}\frac{v}{m_{\text{KKf}}} \\ \frac{v}{m_{\text{KKf}}} & \mathbf{1} \end{pmatrix} \tag{68}$$

plus small deviations. The lower left-hand block of the above matrix is only diagonal and not proportional to the identity matrix because there are small ($\mathcal{O}(10\%)$) splittings in the KK fermion masses. We find numerically that given the above structure for $M_{u,d}$ and the Standard Model values for the zero mode masses, deviations from equation 68 are at most $\mathcal{O}(10^{-13})$ in L_u and even smaller in L_d. Naively, the contributions to $\bar{\theta}$ from $L_{u,d}$ of the form 68 are much greater than 10^{-10}. However, as we show in appendix B, our final result for the size of $\bar{\theta}$ in the small t_0 limit assuming $L_{u,d}$ takes exactly the form 68 will be very small ($\mathcal{O}(10^{-15})$). In fact it is exactly the $O(10^{-13})$ deviations in the up-type matrices that are responsible for the largest contributions to $\bar{\theta}$. We find that at sufficiently small t_0, the contributions to $\bar{\theta}$ are independent of t_0 and of the size

$$\bar{\theta} \;\; \approx \;\; 10^{-13} \qquad (\text{small } t_0) \tag{69}$$

5.5   Unitarity of V_{CKM}

Due to mixing between zero-modes and KK modes, the full CKM matrix restricted to zero modes is not exactly unitary. In models with UV-localized doublets, this effect is one to two orders of magnitude below the bound of current experimental constraints [22]. However, because of the larger mixing between zero-modes and KK-modes when doublets are on the TeV brane, this effect is much larger in our model. The strongest constraints on unitarity

can be parameterized by $\Delta V_i \equiv 1 - \sum_{j=1}^{3} |V_{ij}|^2$. The mixing is approximately $\mathcal{O}(\sqrt{2}v/m_{\mathrm{KKf}})$ for the doublets, so

$$\Delta V_i \; \lesssim \; \frac{2v^2}{(m_{\mathrm{KKf}}^{(1)})^2} \frac{\pi^2}{6} \tag{70}$$

where the additional factor $\sum_n \frac{1}{n^2} = \pi^2/6$ approximates the contribution from the tower of KK modes with masses $\sim m_{\mathrm{KKf}}^{(n)} \sim n m_{\mathrm{KKf}}^{(1)}$. This is at the level of current experimental constraints when $m_{\mathrm{KKf}} = 9 - 12\mathrm{TeV}$. This is comparable to though weaker than electroweak constraints on the model.

5.6 Flavor-Changing Neutral Currents

Strong constraints on physics beyond the Standard Model arise from dimension-6 flavor-changing operators:

$$\mathcal{L}_{\Delta F=2} \; \supset \; \frac{z_{sd}}{\Lambda^2}(\bar{d}_L \gamma_\mu s_L)^2 + \frac{z_{bd}}{\Lambda^2}(\bar{d}_L \gamma_\mu b_L)^2 \tag{71}$$

There are stronger constraints on the R-L operators such as $(s_L d_R)(d_L s_R)$. However, because of the small mixing in the right-handed fermions, this is very suppressed and the dominant constraints come from the above operators (see equation 119, which is nearly flavor-diagonal when restricted to zero modes). With $\Lambda \equiv \mathrm{TeV}$, the constraints on the z coefficients are [23]

$$\begin{aligned} \mathrm{Im}(z_{sd}) &\; \lesssim \; 6 \times 10^{-9} & \epsilon_K \\ z_{bd} &\; \lesssim \; 6 \times 10^{-6} & \Delta m_B \end{aligned} \tag{72}$$

These operators get contributions from KK gluon exchange. The KK gluons, like all KK gauge bosons, are peaked at the TeV brane, with $\chi^{(i)}(1) = 4.72 \approx \sqrt{2kr_c}$. In the case of anarchic Yukawas with the left-handed fields localized on the TeV brane, the constraints from FCNCs would be much more severe. However, the situation is quite different with sequestered flavor and CP violation. When flavor-violation is sequestered, the quark-KK-gluon vertices become approximately flavor conserving in the mass eigenbasis. We have already worked out the form of the KK gluon interactions with the left-handed fermions in the mass eigenbasis in equation 121. Here, we are concerned with interactions with two zero mode fermions, which are described by the upper-left block of 121: $L_d^{(0)} \left(\chi(1)\mathbf{1} + \frac{v}{m_{\mathrm{KK}}} D_{dL} \frac{v}{m_{\mathrm{KK}}} \right) L_d^{(0)\dagger}$. The matrix $L_d^{(0)}$ is not exactly unitary, so $L_d^{(0)} L_d^{(0)\dagger}$ has some off-diagonal components that contribute to z_{sd}, z_{bd}. We find numerically that, given our values for the ν's and sampling over random Φ vevs, that $(L_d^{(0)} L_d^{(0)\dagger})_{sd} \approx 4V_{sd} \frac{\delta m}{m_{\mathrm{KK}}} \frac{v^2}{m_{\mathrm{KK}}^2}$, where $\delta m \approx 0.1 m_{\mathrm{KK}}$ is the small splitting in the KK fermion mass eigenvalues. Mixing between the first and third generation is even more suppressed: $(L_d^{(0)} L_d^{(0)\dagger})_{bd} \approx 0.1V_{bd} \frac{\delta m}{m_{\mathrm{KK}}} \frac{v^2}{m_{\mathrm{KK}}^2}$.

Gluon exchange involves two of the above interactions, so the contribution to $(\bar{b}_L \gamma_\mu d)^2/\Lambda^2$

in the small t_0 limit is approximately [8]

$$
\begin{aligned}
z_{bd} &\approx (1.4)(2k\pi r_c)g_s^2 \frac{[\lambda_C^3 0.1(\delta m/m_{\mathrm{KKf}})(v/m_{\mathrm{KKf}})^2]}{m_{KKg}^2} \\
&\approx 6 \times 10^{-6} \left(\frac{400\mathrm{GeV}}{m_{\mathrm{KKg}}}\right)^6
\end{aligned}
\tag{73}
$$

where the 1.4 has been included to account for contributions from higher KK modes.

The constraints from ϵ_K are significantly more severe:

$$
\begin{aligned}
\mathrm{Im}(z_{sd}) &\approx (1.4)(2k\pi r_c)g_s^2 \frac{[\lambda_C 4(\delta m/m_{\mathrm{KKf}})(v/m_{\mathrm{KKf}})^2]}{m_{KKg}^2} \\
&\approx 9 \times 10^{-6} \left(\frac{10\mathrm{TeV}}{m_{KKg}}\right)^6
\end{aligned}
\tag{74}
$$

6 Conclusion

We have considered a new solution to the strong CP problem based on spontaneous CP violation in RS models. We sequester the source of CP violation, which we assume results from the vev of a scalar field localized near the UV brane, from the Yukawa interactions in the IR with the Higgs, which we assume respect a large flavor symmetry. In order to suppress radiative contributions to $\bar{\theta}$, we localize the doublet quarks on the IR brane, which pushes the scale of KK gauge bosons up to be $\gtrsim$ 15-18 TeV. We find $\bar{\theta}$ suppressed to as little as 10^{-13}.

Let us contrast our model with other models of spontaneous CPV, beginning with the 4D dual of our warped scenario. Interestingly, the mechanism that enforces a vanishing strong CP phase at tree level in the gravitational theory is slightly different from in the CFT dual. In 5D the hermiticity of bulk fermion mass matrices is enforced by higher dimensional spacetime symmetries. Where the dual is conformal (that is, excluding energies near CFT breaking), these masses correspond to anomalous dimensions, which must be hermitian simply because they are renormalizations of the kinetic terms.

Let us consider the structure of the CFT as we flow down from high energies. In the UV, CP is a valid symmetry and the theory is conformal. At this scale, the UV localized SM fermions are present in vector-like pairs and as fundamental objects. At the scale Λ_{CPV} the CFT strongly couples, generating a bound state scalar CP-on Φ which spontaneously breaks CP. At this point conformal symmetry is maximally violated by strong dynamics, so we would naturally assume that CP phases enter the theory quite generically. However, as we can compute in the gravity theory, this is not the case, and strong dynamics introduces only (hermitian) wavefunction renormalizations of the (now partly composite) fermions. Below this scale, the residual CFT gauge symmetry flows down to the IR brane, where it strongly couples again, yielding a composite Higgs scalar and fermion composites corresponding to the heavier SM fermions. In general, the 4D dual of our model works by keeping all phases inside wavefunction renormalizations.

---

[8] We assume $m_{\mathrm{KKg}} \approx \frac{2.45}{\pi} m_{\mathrm{KKf}}$, which typically follows from the equations of motion for KK gauge bosons and KK fermions in RS models.

This is similar to what we will call theories of "wavefunction renormalization." These models utilize spontaneous CP violation, but subject to symmetries that effectively sequester CP phases in wavefunction renormalizations (or higher-dimensional wavefunctions) alone. Because any renormalization of the kinetic energy term necessarily implies that the anomalous dimensions are hermitian, these models forbid strong CP phases at tree level.

For example, in Hiller-Schmaltz [28], the supersymmetric non-renormalization theorem is used to forbid CP phases from seeping into $\bar{\theta}$, which is only in the superpotential. Because CP violation occurs above the SUSY breaking scale, phases enter only into the Kahler potential, and are thus hermitian up to SUSY-breaking effects. However, in order to obtain appropriately large weak CP phases, these wavefunction renormalizations must be of order unity, and so this theory is strongly coupled. As a result, precise model-dependent observables are difficult to obtain, and moreover the inclusion of higher dimension operators generated by these strong dynamics force the CP violating scale up to around 10^5 GeV, far above future collider reach. Because our model is gravitational, it is completely weakly coupled. Otherwise, the 4D CFT dual of our theory has many similarities to Hiller-Schmaltz, except that conformal symmetry effectively replaces supersymmetry in terms of suppressing phases in the potential.

Our model also has advantages over alternative models of spontaneous CP violation. For example, consider the archetypal model of spontaneous CP violation, proposed by Nelson and Barr [26,27]. This model assumes an exact CP symmetry in the UV, as well as additional scalars and vector-like fermions at a high scale charged under the SM flavor group. After a scalar gets a CP violating vev, $\bar{\theta}$ is forbidden at tree-level due to conditions placed on the mass matrix by the GUT representations of additional fermions.

From a model-building point of view, our proposal can be seen as more minimal than Nelson-Barr. First of all, while the inclusion of vector-like fermions charged under added flavor symmetries is not necessary in a 4D model, it follows from the KK reduction in 5D. In particular, 5D spacetime symmetries necessarily imply that parity is a symmetry of the bulk, forcing heavy modes to appear in vector-like pairs. Furthermore, the mass of the new vector-like states can be much lower. Finally, we note that since our model is in RS, we can explain large hierarchies of mass scales through the warped geometry.

Acknowledgments

A Bulk Fermion Wavefunctions

A.1 Wavefunctions Without Twisting

In this subsection we derive bulk fermion wavefunctions in a simply warped model with no flavor twisting. A right-handed fermion in RS with bulk mass $m = \nu k$ satisfies the wave equation

$$(\partial_t^2 + x^2 - \frac{\nu(\nu+1)}{t^2})f_R = 0 \tag{75}$$

The solutions are

$$f_R(t) = \sqrt{t}\left(\beta J_{\frac{1}{2}+\nu}(xt) - \alpha J_{-\frac{1}{2}-\nu}(xt)\right) \tag{76}$$

The three conditions that completely determine α, β, and x are the two boundary conditions, $f_R(\epsilon), f_R(1) = 0$, and the normalization $\int_\epsilon^1 f_R^2(t)dt = 1$. It is useful to work out approximate solutions first. The $t = \epsilon$ condition is, to lowest-order in ϵ,

$$0 = -\frac{2^{\frac{1}{2}+\nu}x^{-\frac{1}{2}-\nu}\alpha\epsilon^{-\nu}}{\Gamma(\frac{1}{2}-\nu)} + \frac{2^{-\frac{1}{2}-\nu}x^{\frac{1}{2}+\nu}\beta\epsilon^{1+\nu}}{\Gamma(\frac{3}{2}+\nu)} \tag{77}$$

so $\alpha \ll \beta$ if $\nu > -\frac{1}{2}$, and $\alpha \gg \beta$ if $\nu < -\frac{1}{2}$. The boundary condition at $t = 1$ is therefore

$$0 \approx \begin{cases} J_{-\frac{1}{2}-\nu}(x), & \nu < -\frac{1}{2} \\ J_{\frac{1}{2}+\nu}(x), & \nu > -\frac{1}{2} \end{cases} \tag{78}$$

The n-th root of J_μ is well-approximated by $\left(\frac{\mu}{2} - \frac{1}{4} + n\right)\pi$ in the range $5 > \mu > -1/2$. Over the range $-1 < x < 1$, this approximates x to within 5 %. So, we can take

$$x^{(n)} \approx \begin{cases} \left(\frac{\nu}{2} + n\right)\pi, & \nu > -\frac{1}{2} \\ \left(-\frac{\nu}{2} - \frac{1}{2} + n\right)\pi, & \nu < -\frac{1}{2} \end{cases} \tag{79}$$

It is convenient to take $\mu = |\nu + \frac{1}{2}|$. Continuing to take $\epsilon \ll 1$, the normalization condition for $\nu > -\frac{1}{2}$ is

$$1 = \int_\epsilon^1 f_R^2(t)dt = \frac{\beta^2}{2}J_{\mu+1}^2(x) \tag{80}$$

Thus, we have approximately

$$f_R(t) = \begin{cases} \dfrac{\sqrt{2t}J_{\frac{1}{2}+\nu}(xt)}{J_{-\frac{1}{2}+\nu}(x)}, & \nu > -\frac{1}{2} \\[3mm] \dfrac{\sqrt{2t}J_{-\frac{1}{2}-\nu}(xt)}{J_{\frac{1}{2}-\nu}(x)}, & \nu < -\frac{1}{2} \end{cases}$$

where x satisfy equation 78. In terms of the coefficients α, β, this is

$$\nu > -\frac{1}{2} \;:\; \alpha = 0, \qquad \beta = \frac{\sqrt{2}}{J_{|\nu-\frac{1}{2}|}(x)}$$

$$\nu < -\frac{1}{2} \;:\; \alpha = \frac{\sqrt{2}}{J_{|\nu-\frac{1}{2}|}(x)}, \qquad \beta = 0 \tag{81}$$

23

Thus, the left-handed excited mode wavefunctions are given by

$$
f_L(t) = \begin{cases} \dfrac{\sqrt{2t}J_{-\frac{1}{2}+\nu}(xt)}{J_{-\frac{1}{2}+\nu}(x)}, & \nu > -\frac{1}{2} \\[3mm] -\dfrac{\sqrt{2t}J_{\frac{1}{2}-\nu}(xt)}{J_{\frac{1}{2}-\nu}(x)}, & \nu < -\frac{1}{2} \end{cases}
$$

and the zero mode is:

$$
f_L^0 = \sqrt{\frac{1+2\nu}{1-\epsilon^{1+2\nu}}} t^\nu. \tag{82}
$$

A.2 Wavefunctions With Twisting

Solving for the wavefunctions can be complicated by twisting. However, because of the delta function form of Φ, the bulk fermion wavefunctions can be straightforwardly solved for on to the left and right of ϕ_0, and then matched at the junction.

We now show this explicitly. The following discussion will hold equally well for U and D, so we will drop all u, d subscripts and let $X = gr\langle\Phi\rangle$. Rewriting in terms of the t variable, we find that $\delta(\phi-\phi_0) = krt\delta(t-t_0)$, and so from Eq. (20) we can write $\mu = \text{diag}(\nu_i)+Xt_0\delta(t-t_0)$. where ν_i ultimately sets the masses of the bulk fermions. Eq. (19) depicts the first order wave equations for a bulk fermion written as a matrix differential operator acting on a matrix wavefunction. For computational simplicity, let us consider a single column of this equation, which constitutes a matrix differential operator acting on a vector wavefunction:

$$
\left(\pm\partial_t - \frac{\mu}{t}\right) f_{L,R} = -xf_{R,L}, \tag{83}
$$

$$
\Rightarrow \left(-\partial_t^2 + \frac{\mu(\mu\mp 1)\pm t\mu'}{t^2}\right) f_{L,R} = x^2 f_{L,R}, \tag{84}
$$

where $f_{L,R}$ are 3-vectors, ' denotes differentiation with respect to t, and x is a mass eigenvalue which will be determined by the orbifold boundary conditions on the wavefunctions.

The second order equation in Eq. (84) has a well-known solution in terms of Bessel functions,

$$
f_L = J_{+-}(t)a_L + J_{-+}(t)a_R, \tag{85}
$$

$$
f_R = J_{++}(t)a_R - J_{--}(t)a_L, \tag{86}
$$

$$
J_{\pm\pm}(t) = \sqrt{t}\, \text{diag}(J_{\pm\frac{1}{2}\pm\nu_i}(xt)), \tag{87}
$$

where the $a_{L,R}$ are constant 3 vectors defined piece-wise by

$$
a_{L,R} = \begin{cases} a_{L,R}^+ & t > t_0 \\ a_{L,R}^- & t < t_0 \end{cases} \tag{88}
$$

As written, there are precisely four vectors (a_L^+, a_R^+, a_L^- and a_R^-) of initial conditions to fix. Since one of these is an overall normalization, this leaves three vector unknowns.

Now if we take f_R to be odd under the orbifold symmetry, then it vanishes at the orbifold fixed points,

$$
f_R(\epsilon) = f_R(1) = 0. \tag{89}
$$

24

These two boundary conditions, along with the zeroth and first derivative boundary conditions at t_0 constitute *four* boundary conditions that will act to (over-)constrain the *three* initial conditions for the fermion wavefunctions. Since this over-constrains the system, this puts a constraint on x and fixes the allowed masses to a discretum. Enforcing equation 89 allows us to eliminate the a_L's and write

$$f_R(t) = \begin{cases} \left(\frac{J_{--}(1)J_{++}(t) - J_{++}(1)J_{--}(t)}{J_{--}(1)} \right) a_R^+ & t > t_0 \\ \left(\frac{J_{++}(t)J_{--}(\epsilon) - J_{--}(t)J_{++}(\epsilon)}{J_{--}(\epsilon)} \right) a_R^- & t < t_0 \end{cases} \tag{90}$$

Next, let determine the matching conditions at the third brane. Because the first order equation in Eq. (84) relates the first derivative of the fermion wavefunction to a delta function, we know that there is jump discontinuity at t_0. Moreover, without loss of generality, we can regulate this jump with a linear interpolating function, so

$$f_{L,R}(t_0) = \frac{1}{2}(f_{L,R}(t_0^+) + f_{L,R}(t_0^-)), \tag{91}$$

where $\pm$ denote limiting values from the right and left. Our solutions will of course be insensitive to this regulation.

The first boundary condition is then easily obtained by integrating the first order wave equation over a small neighborhood around t_0:

$$-f_R|_{t_0^-}^{t_0^+} - \int_{t_0^-}^{t_0^+} \frac{\mu}{t} f_R dt = -x \int_{t_0^-}^{t_0^+} f_R dt. \tag{92}$$

Since the integrand on the RHS is not singular at t_0 except for a discontinuity and it is integrated over an infinitesimal range, its contribution vanishes. In fact only the delta function component of μ survives the integration, yielding

$$f_R(t_0^+) - f_R(t_0^-) = -\frac{1}{2}X(f_R(t_0^+) + f_R(t_0^-)), \tag{93}$$

$$\Rightarrow f_R(t_0^+) = Rf_R(t_0^-), \tag{94}$$

$$R = \frac{1 - X/2}{1 + X/2}, \tag{95}$$

$$\approx 1 - X \tag{96}$$

where the last relation holds in the limit that X is small.

Next, we find the second boundary condition at t_0 by integrating the second order wave equation over an infinitesimal neighborhood around t_0:

$$-f_R'|_{t_0^-}^{t_0^+} + \int_{t_0^-}^{t_0^+} \frac{\mu(\mu \mp 1) \pm t\mu'}{t^2} f_R dt = x^2 \int_{t_0^-}^{t_0^+} f_R dt. \tag{97}$$

Plugging in for ν we find that

$$f_R'|_{t_0^-}^{t_0^+} \pm X f_R'(t_0) - \frac{1}{t_0} \left(\{\text{diag}(\nu_i), X\} + X t_0 \delta(0) \right) f_R(t_0) = 0. \tag{98}$$

25

Here we have introduced a $\delta(0)$ which can be easily removed by plugging in the first order wave equation evaluated at t_0:

$$-f'_R(t_0) - \frac{1}{t_0}\left(\mathrm{diag}(\nu_i) + X t_0 \delta(0)\right) f_R(t_0) \; = \; -x f_L(t_0). \tag{99}$$

Combining these equations and plugging in for $f_L(t_0)$ in terms of $f_R(t_0^+)$ and $f_R(t_0^-)$ from the first order wave equation, we finally obtain the two boundary conditions for the fermion wavefunctions at t_0:

$$f_R(t_0^+) \; = \; R f_R(t_0^-), \tag{100}$$

$$f'_R(t_0^+) \; = \; R^{-1} f'_R(t_0^-) + S f_R(t_0^-), \tag{101}$$

$$R \; = \; \frac{1 - X/2}{1 + X/2}, \tag{102}$$

$$S \; = \; \frac{1}{1 - X/2} \frac{\{\mathrm{diag}(\nu_i), X\}}{t_0} \frac{1}{1 + X/2}. \tag{103}$$

The above equations, along with the two orbifold boundary conditions constitute four linear matrix equations which can be combined (after plugging in $f_{L,R}$) in order to yield an equation relating a_R^+ and a_R^-

$$a_R^+ \; = \; \frac{J_{--}(1)}{J(1)} R \frac{J(\epsilon)}{J_{--}(\epsilon)} a_R^- \tag{104}$$

and a single condition on a_R^+:

$$G(x) a_R^+ \; = \; 0, \tag{105}$$

where we have defined the matrix functions

$$J(t) \; \equiv \; J_{--}(t) J_{++}(t_0) - J_{++}(t) J_{--}(t_0), \tag{106}$$

$$G(x) \; \equiv \; (-\partial_{t_0} J(1) R J(\epsilon) + J(1) R^{-1} \partial_{t_0} J(\epsilon) + J(1) S J(\epsilon)) J_{--}^{-1}(\epsilon). \tag{107}$$

The matrix $G(x)$ does not have zeros for any arbitrary x, and so this linear equation forces x to take on a discretum of values. It is straightforward to numerically obtain the zeros of $\det G(x)$, thus yielding the fermion mass eigenvalues x_i. Then, the a_R^+ corresponding to the mass eigenvalue x_i is the null eigenvector of $G(x_i)$, and a_R^- is given by equation 104. Thus from equation 90 we have the fermion bulk wave functions.

B   Analysis of Flavor Spurions in small t_0 limit

To begin, we go to mass eigenbasis, which is advantageous because this separates out the flavor-mixing, which occurs only at interaction vertices, from other sources of flavor-breaking. We consider the (broken) chiral flavor symmetry $G_F = (SU(3)_L \times SU(3)_R)^{N_{KK}}$ which acts separately on each KK level, treating the symmetry-breaking terms as spurions charged under this symmetry. Also, let us first consider diagrams with only neutral current interactions, and generalize our argument later to include both charged and neutral currents. Thus for the present, loop diagrams necessarily contain only up-like or only down-like quarks, and

we can first consider only with the part of G_F which acts on the down-like quarks. We will consider a general element of G_F, $Z_L \times Z_R \times K_L \times K_R$, where Z_L (Z_R) is a special unitary transformation that acts on the left-handed (right-handed) zero modes and K_L (K_R) is a special unitary transformation that act on the first excited KK left-handed (right-handed) modes. Since the mass matrix, L_d, R_d, C_{dR}, and C_{dL} all break this symmetry, we treat their zero mode and KK blocks as spurions. We will now describe these interactions in some detail in the small t_0 limit and catalogue the spurions under the broken flavor symmetry G_F.

To begin, consider the interactions between fermions and gluons before KK reducing:

$$\mathcal{L} \supset g_3\sqrt{\pi}A_\mu \left(\bar{q}_i\gamma^\mu q_i\delta(t-1) + \bar{U}_i\gamma^\mu U_i + \bar{D}_i\gamma^\mu D_i\right) \tag{108}$$

Let us denote the wave-function for the (n)-th KK gauge boson by $\chi_A^{(n)}(t)$, in a convention where

$$A_\mu(x,t) = \sum_{n=0}^{N} A_\mu^{(n)}\frac{\chi_A^{(n)}(t)}{\sqrt{r_c}} \tag{109}$$

In this convention, the zero mode wavefunctions $\chi_A^{(0)}(t) = 1/\sqrt{\pi}$ are flat, the excited mode wavefunctions satisfy $\chi_A^{(n)}(1) \approx \sqrt{2kr_c}$ where $n > 0$. Because the zero mode wavefunction is a constant and the fermion KK modes are orthonormal, the interactions with the zero mode gauge boson are exactly flavor-respecting. This is of course the result of the exact gauge symmetry.

As it turns out, the KK gluon interactions also possess an approximate flavor symmetry. To see this, consider the coupling of the (n)-th KK gluon to the zero mode and first KK mode fermions. We will write this interaction in terms of the $u_{L,R}$ basis defined in equations 39-43, where the approximate $U(1)^3$ flavor symmetry is respected by the KK mode masses. We refer to this basis as the "KK basis", to distinguish it from the mass eigenbasis where the full mass matrix including mixing with the zero modes and KK modes is diagonal.

In the KK basis, the gluon coupling to the u_R is given by the integral of the KK wave-functions over the fifth dimension:

$$C_R^{(n)} = g_s\sqrt{\pi}\int \chi_A^{(n)}(t) \begin{pmatrix} F_u^{(0)}(t)^\dagger F_u^{(0)}(t) & F_u^{(0)}(t)^\dagger F_u^{(1)}(t) \\ F_u^{(1)}(t)^\dagger F_u^{(0)}(t) & F_u^{(1)}(t)^\dagger F_u^{(1)}(t) \end{pmatrix} dt. \tag{110}$$

Here each F is a three by three flavor matrix. For the case of u_L, the gluon interaction matrix is simpler since the doublet fields have no KK excitations:

$$C_L^{(n)} = g_s\sqrt{\pi}\int \chi_A^{(n)}(t) \begin{pmatrix} \delta(t-1) & 0 \\ 0 & F_{u'}^{(1)}(t)^\dagger F_{u'}^{(1)}(t) \end{pmatrix} dt, \tag{111}$$

where $F_{u'}$ denotes the bulk wavefunction corresponding to the left handed KK excitation of the right handed singlet $u_R^{(0)}$.

The coupling of the fermions to a KK gluon can be understood qualitatively by decomposing the gluon wavefunction into a flat "UV" piece that is constant and an oscillating "IR" piece that vanishes in the UV:

$$\chi_A^{(n)}(t) = \chi_{\mathrm{UV}}(t) + \chi_{\mathrm{IR}}^{(n)}(t) \tag{112}$$

$$\chi_{\mathrm{UV}}(t) = -\frac{1}{\sqrt{8kr_c}} \tag{113}$$

$$\chi_{\mathrm{IR}}^{(n)}(t) \approx \sqrt{2kr_c t}\sin\left(t\frac{2n-1}{2}\pi\right) \tag{114}$$

27

The "UV" piece is flat and therefore, by orthonormality of the fermion wavefunctions, flavor-universal. For $C_R^{(n)}$, the IR contribution roughly yields products of F_u's evaluated near the IR brane, and thus has the same order of magnitude as the Yukawa matrices. We thus obtain qualitatively

$$C_R^{(n)} \approx g_s\sqrt{\pi}\left(-\frac{1}{\sqrt{8kr}}\mathbf{1} + \sqrt{2kr}Y_u^\dagger Y_u\right). \tag{115}$$

This implies that the KK gluon vertices that mix right-handed zero modes fermions and right-handed KK mode fermions are suppressed by the zero mode masses, which we will find later to be essential to making radiative corrections to $\bar{\theta}$ small.

The left-handed partners of the KK singlets have wavefunctions that vanish on the IR brane, and their coupling to the KK gluon does not receive the full $\sqrt{2kr_c}$ volume factor:

$$C_L^{(n)} \approx g_s\sqrt{\pi}\left(\begin{array}{cc} \sqrt{2kr}\mathbf{1} & 0 \\ 0 & \mathcal{O}(2) \end{array}\right). \tag{116}$$

For radiative corrections to $\bar{\theta}$ to be small, we need small t_0. We can describe the interactions approximated in equations 115 and 116 more precisely in this small t_0 limit, where the KK mode fermion wavefunctions are independent of Φ but the zero mode fermion wavefunctions are not. The essential point will be that the only sources of flavor mixing are the left-handed diagonalization matrices $L_{u,d}^{(0)}$ *for the zero modes* and that the only new flavor-violating spurions beyond those in the Standard Model that can contract with $L_{u,d}^{(0)}$ are suppressed by $(v/m_{KKf})^2$ or the usual mass matrix for the zero modes. Thus, we now wish to demonstrate that all flavor-mixing in the gluon vertices can be pushed in the mass eigenbasis into $L_u^{(0)}$ and $L_d^{(0)}$.

First, we show that $L_{u,d}^{(0)}$ are also the only sources of flavor mixing in the gluon interaction vertices. In particular, $R_{u,d}^{(0)}$ can be absorbed into the diagonalizations of the zero mode masses (and equivalently $F_{u,d}^{(0)}$) so that they manifestly do not contribute new sources of flavor-mixing. We will restrict our attention to the gluon interaction with down quarks for the moment, to avoid writing extra indices. First, note that since the KK fermion wavefunctions are diagonal in the present limit, their interactions with the KK gauge boson are also diagonal in the KK basis. We can denote this by defining $D_{dR} = C_{dR,11}$, so D_{dR} is a diagonal matrix. Second, consider the interactions of the KK gauge bosons with a zero mode fermion and a KK mode fermion. These are given by $C_{dR,01} = g_s\sqrt{\pi}\int_\epsilon^1 \chi(t)F_d^{(0)\dagger}(t)F_d^{(1)}(t)$. However, the integral receives only a negligible contribution from $t < t_0$, and above that $F_d^{(0)\dagger}(t) = F_d^{(0)\dagger}(t_0)$ times a diagonal matrix, according to equation 24. This implies that at any point $t > t_0$, $F_d^{(0)\dagger}(t)$ is related to $F_d^{(0)\dagger}(1)$ by a diagonal matrix. Thus, $C_{dR,01} = F_d^{(0)\dagger}(1)D'_{dR}$, where we have defined another diagonal matrix D'_{dR}. Finally, note that because the zero modes are UV localized and do not oscillate in the IR, they see the IR piece $\chi_{\rm IR}$ of the KK gluon wavefunction as essentially a spike near the IR brane, and thus the qualitative approximation of C_{dR} we gave earlier is quantitatively very good for the zero modes: $C_{dR,00} \approx g_s\sqrt{\pi}(-\frac{1}{\sqrt{8kr_c}}\mathbf{1} + \sqrt{2kr_c}F_d^{(0)\dagger}F_d^{(0)})$. Furthermore, the effect of deviations from this approximation are suppressed by the zero mode wavefunctions. The exact interaction contains the flavor-violating contribution $F_d^{(0)\dagger}D_{dR;0}F_d^{(0)}$, again with $D_{dR;0}$ a diagonal matrix. In the mass eigenbasis, this is $f_d^{(0)}L_d^{(0)}D_{dR;0}L_d^{(0)\dagger}f_d^{(0)}$. The small off-diagonal pieces

of $L_d^{(0)} D_{dR;0} L_d^{(0)\dagger}$ are then further suppressed by the hierarchy in zero mode masses, making them completely negligible. Thus, in the KK basis, C_{dR} takes the form

$$C_{dR} \;=\; g_s \sqrt{\pi} \begin{pmatrix} \chi(\epsilon)\mathbf{1} + \chi(1)F_d^{(0)\dagger} D_{dR;0} F_d^{(0)} & F_d^{(0)\dagger} D_{dR}' \\ D_{dR}' F_d^{(0)} & D_{dR} \end{pmatrix} \tag{117}$$

Consider now the rotation to mass eigenbasis. The right-handed mixing between zero modes and KK modes is suppressed by zero mode masses and v/m_{KKf}, where we find numerically the result

$$R_d \;=\; \begin{pmatrix} R_d^{(0)} & -R_d^{(0)} F_d^{(0)\dagger} \frac{\sqrt{2}v^2}{m_{\mathrm{KKf}}^2} \\ \frac{\sqrt{2}v^2}{m_{\mathrm{KKf}}^2} F_d^{(0)} & \mathbf{1} \end{pmatrix} \tag{118}$$

We now use this to rotate C_{dR} to the mass eigenbasis:

$$C_{dR} \;\rightarrow\; \begin{pmatrix} \chi(\epsilon)\mathbf{1} + \chi(1)f_d^{(0)2} & f_d^{(0)} L_d^{(0)} D_{dR}' \\ D_{dR}' L_d^{(0)\dagger} f_d^{(0)} & D_{dR} \end{pmatrix} + \mathcal{O}(\frac{v^2 f_d^{(0)2}}{m_{KKf}^2}) \tag{119}$$

We have not written out some terms that are higher order in $\frac{v^2}{m_{\mathrm{KK}}^2}$. This is because they can be written as spurions we have already included (times some diagonal matrices) and thus can only give contributions that are suppressed by v^2/m_{KK}^2 over those we are including. The above form demonstrates our claim that $R_d^{(0)}$ does not explicitly appear in the gluon interactions, and thus the only source of flavor-mixing in the down-type interactions is $L_d^{(0)}$. The matrix of KK gauge boson interactions with the left-handed fields is simpler. In the KK basis, it takes the form we have already derived:

$$C_{dL} \;=\; \begin{pmatrix} \chi(1)\mathbf{1} & 0 \\ 0 & D_{dL} \end{pmatrix} \tag{120}$$

and thus in the mass eigenbasis does not contain any new flavor-breaking spurions beyond D_{dL} and those in L_d. Explicitly, it transforms to

$$C_{dL} \;\rightarrow\; \begin{pmatrix} L_d^{(0)} \left(\chi(1)\mathbf{1} + \frac{v}{m_{\mathrm{KK}}} D_{dL} \frac{v}{m_{\mathrm{KK}}} \right) L_d^{(0)\dagger} & L_d^{(0)} \frac{v}{m_{\mathrm{KK}}} (\chi(1)\mathbf{1} - D_{dL}) \\ (\chi(1)\mathbf{1} - D_{dL}) \frac{v}{m_{\mathrm{KK}}} L_d^{(0)\dagger} & \chi(1) \left(\frac{v}{m_{\mathrm{KK}}^2} + D_{dL} \right) \end{pmatrix} \tag{121}$$

In particular, the mass matrix supplies the three spurions $f_d^{(0)}$, $\sqrt{2}\mathbf{1}$, and $M^{(1)}$, L_d supplies the spurions $L_d^{(0)}$ and $\frac{v}{m_{\mathrm{KKf}}}$, and C_{dR} and C_{dL} supply the spurions $F_d^{(0)\dagger} D_{dR}'$, D_{dR}, and D_{dL}. Under the chiral flavor symmetry, these spurions transform like

$$f_d^{(0)} \;\rightarrow\; Z_L F_d^{(0)} Z_R^{\dagger} \tag{122}$$

$$\sqrt{2}\mathbf{1} \;\rightarrow\; Z_L \sqrt{2} K_R^{\dagger} \tag{123}$$

$$f_d^{(0)} L_d^{(0)} D_{dR}' \;\rightarrow\; Z_R F_d^{(0)\dagger} D_{dR}' K_R^{\dagger} \tag{124}$$

$$D_{dL} \;\rightarrow\; K_L D_{dL} K_L^{\dagger} \tag{125}$$

$$M_1 \;\rightarrow\; K_L M_1 K_R^{\dagger} \tag{126}$$

$$L_d^{(0)} \;\rightarrow\; Z_L L_d^{(0)} Z_L^{\dagger} \tag{127}$$

$$\frac{v}{m_{\mathrm{KKf}}}\mathbf{1} \;\rightarrow\; Z_L \frac{v}{m_{\mathrm{KKf}}}\mathbf{1} K_L^{\dagger} \tag{128}$$

29

Next, we estimate the value of $\bar{\theta}$ from a two loop diagram with neutral currents. First, we note that in order to obtain a contribution to $\bar{\theta}$, a diagram must necessarily include four factors of $L_d^{(0)}$. Otherwise the phase in the first flavor-mixing $L_{dij}^{(0)}$ is precisely canceled by mixing back through the inverse factor $L_{dji}^{(0)\dagger}$. The flavor symmetry then fixes which spurions can interleave around these four factors.

The only spurions that transform under Z_L aside from $L_d^{(0)}$ are $f_d^{(0)}$ and $\frac{v}{m_{\text{KKf}}}$. The first of these transforms on the right under Z_R, so it must be squared in order to appear between two $L_d^{(0)}$'s. Note that these spurions are nothing more than the zero mode masses, and it is exactly this argument that implies such a large suppression of $\bar{\theta}$ in the Standard Model.

The second of these, $\frac{v}{m_{\text{KKf}}}$, transforms on the right under K_L, so it also must be squared in order to appear between $L_d^{(0)}$'s. Thus, it also gives a suppression comparable to $(m_b/v)^2$.

Next, if the external mode is a zero mode, then at least one of the spurions must be proportional to $f_d^{(0)}$ (to see this explicitly, note that all of the spurions charged under Z_R are proportional to $f_d^{(0)}$), which then cancels with the m_d^{-1} in the expression for corrections to $\bar{\theta}$. Thus, the minimum suppression allowed by the above argument is $(v/m_{\text{KKf}})^7 = 3 \times 10^{-12}$. Finally, there is the fact that the overall contribution must be proportional to the Standard Model phase invariant $\Delta^{(4)} \equiv \text{Im}\left(L_{d12}^{(0)} L_{d22}^{(0)\dagger} L_{d21}^{(0)} L_{d11}^{(0)\dagger} \right) \sim 10^{-4}$ (see section 3.4). This puts the final contributions from two neutral currents (or more specifically, two gluons) safely below the experimental limits on $\bar{\theta}$. We note that, when all of the loops are from KK gauge bosons, each vertex contains a large coupling $g_5\chi(1) = g_4\sqrt{2k\pi r_c} \lesssim \sqrt{70}$, which is very close to the non-perturbative regime. In particular, each additional loop is suppressed only by a factor of $g_4^2 \frac{2k\pi r_c}{(4\pi)^2}$.

We can now extend the above argument to loops including charged currents. In this case, we must consider a separate flavor symmetry acting on up-type quarks and down-type quarks: $G_F = G_{Fu} \times G_{Fd} \equiv (SU(3)_L \times SU(3)_R)_u^{N_{KK}} \times (SU(3)_L \times SU(3)_R)_d^{N_{KK}}$, since charged currents will generate diagrams with both up-like and down-like quarks. It is crucial that the only new flavor-mixing spurions from charged currents arise from $V_{\text{CKM}} = L_u L_d^\dagger$, which in the small t_0 limit takes the form

$$V_{\text{CKM}} = \begin{pmatrix} L_u^{(0)} L_d^{(0)\dagger} & L_u^{(0)} \frac{v}{m_{\text{KKf}}} \\ \frac{v}{m_{\text{KKf}}} L_d^{(0)\dagger} & \frac{v^2}{m_{\text{KKf}}^2} \end{pmatrix} \tag{129}$$

These new spurions therefore transform like:

$$V_{\text{CKM}}^{(0)} \equiv L_u^{(0)} L_d^{(0)\dagger} \quad \rightarrow \quad Z_L^u V_{\text{CKM}}^{(0)} Z_L^{d\dagger} \tag{130}$$

$$L_d^{(0)} \frac{v}{m_{\text{KKf}}} \quad \rightarrow \quad Z_L^d L_d^{(0)} \frac{v}{m_{\text{KKf}}} K_L^{u\dagger} \tag{131}$$

$$L_u^{(0)} \frac{v}{m_{\text{KKf}}} \quad \rightarrow \quad Z_L^u L_u^{(0)} \frac{v}{m_{\text{KKf}}} K_L^{d\dagger} \tag{132}$$

$$\frac{v^2}{m_{\text{KKf}}^2} \quad \rightarrow \quad K_L^u \frac{v^2}{m_{\text{KKf}}^2} K_L^{d\dagger} \tag{133}$$

Thus, in diagrams with charged currents, we have two different flavor-mixing spurions, $L_u^{(0)}$ and $L_d^{(0)}$. In a totally general case with two flavor-mixing spurions, we could get $\bar{\theta}$ contributions with only two insertions of mixing matrices. However, the present case is far from generic, since there are no spurions other than $V_{\text{CKM}}^{(0)}$ that are charged under both Z_L^u

and Z_L^d. Thus, in order to make an invariant from both $L_u^{(0)}$ and $L_d^{(0)}$, one must connect them with additional factors of $L_{u,d}^{(0)}$. We can therefore apply our earlier arguments about spurions charged under $Z_{L,R}^{u,d}$. The phase invariant $\Delta^{(4)}$ constructed from $L_d^{(0)}$ is approximately the same if we replace $L_d^{(0)}$ by $V_{\text{CKM}}^{(0)}$ since most of the CKM matrix comes from the down-like quarks of our model. In particular, the largest new invariant that one can construct, even with charged currents, is still $\left(\frac{v}{m_{\text{KKf}}}\right)^7 \Delta^{(4)} \approx 10^{-16}$.

There is one last subtlety if the charged currents are Higgs (equivalently, longitudinal modes of the W zero mode), since its coupling to KK modes in terms of the above spurions contains a large factor of m_{KKf}/v. However, there is also no volume factor since the Higgs is IR localized and has no KK modes, so the overall largest contribution for instance from a charged Higgs and a KK gluon is of order $\delta\bar{\theta} \approx g_4^2 \left(\frac{2k\pi r_c}{(4\pi)^4}\right) \left(\frac{v}{m_{\text{KKf}}}\right)^5 \Delta^{(4)} \lesssim 10^{-15}$.

C   Precision Electroweak Constraints

Here, for completeness, we review the constraints from precision electroweak measurements with a combination of bulk and brane fields. We will compute all constraints by matching our UV theory to an effective 4d theory with only Standard Model fields. These constraints have been discussed previously in the literature, see e.g. [30–33]. The most highly constrained operators in the flavor-symmetric electroweak Lagrangian are

$$
\begin{aligned}
\mathcal{L}_{EW} \supset\ & \frac{z_{WB}}{\Lambda^2}(h^\dagger \sigma^a h)W_{\mu\nu}^a B^{\mu\nu} + \frac{z_h}{\Lambda^2}|h^\dagger D_\mu h|^2 \\
& + \frac{z_{hl}^s}{\Lambda^2}i(h^\dagger D^\mu h)(\bar{l}\gamma_\mu l) + \frac{z_{hl}^t}{\Lambda^2}i(h^\dagger \sigma^a D^\mu h)(\bar{l}\gamma_\mu l) \\
& + \frac{z_{hq}^s}{\Lambda^2}i(h^\dagger D^\mu h)(\bar{q}\gamma_\mu q) + \frac{z_{hq}^t}{\Lambda^2}i(h^\dagger \sigma^a D^\mu h)(\bar{q}\gamma_\mu q) \\
& + \frac{z_{hu}}{\Lambda^2}i(h^\dagger D^\mu h)(\bar{u}\gamma_\mu u) + \frac{z_{hd}}{\Lambda^2}i(h^\dagger D^\mu h)(\bar{d}\gamma_\mu d) + \frac{z_{he}}{\Lambda^2}i(h^\dagger D^\mu h)(\bar{e}\gamma_\mu e) \quad (134)
\end{aligned}
$$

We present the constraints on the coefficients of these operators based on the χ^2 comparison to the data performed in [31]. We consider only the 9 operators in equation (134) [9] the deviation from the χ^2 for the standard model

$$
\Delta\chi^2 \equiv \chi^2 - \chi^2_{\text{SM}} \quad (135)
$$

where χ^2_{SM} is the χ^2 value with all dimension-6 operators set to zero. Choosing the 9 operator coefficients to minimize χ^2 gives $\chi^2_{\text{min}} = \chi^2_{\text{SM}} - 12.5$.

C.1   Contributions from KK modes

The contribution to fermion-Higgs operators occurs, as with most of the constrained higher-dimensional operators, at tree-level. The diagrams that generate $z_{h\psi}$ are shown in figure 3

---

[9] The constraints from 4-fermion operators are negligible for the cases we discuss. Operators involving only quarks are weakly constrained and when $SU(2)_L$ is in the bulk operators involving leptons may be made small by localizing the leptons sufficiently in the UV. When $SU(2)_L$ is on the brane, the doublet leptons must be IR localized and thus the operators $(\bar{l}\gamma^\mu l)(\bar{l}\gamma_\mu l)$ and $(\bar{l}\gamma^\mu \sigma^a l)(\bar{l}\gamma_\mu \sigma^a l)$ are larger. However, in this case there are no KK modes of W, Z bosons, and the effect of including constraints on these operators is small.

on the right. The contribution from hypercharge KK modes is[10]

$$\frac{z_{h\psi}^s}{\Lambda^2} \approx \frac{1}{8}g'^2 z_v^2 Y_\psi \times \left(\left(\begin{array}{ll} \frac{-k\pi r_c}{2} + \frac{1}{2} & \psi \text{ IR localized} \\ \frac{1}{4} - \frac{1}{4k\pi r_c} & \psi \text{ UV localized} \end{array} \right) \right) \tag{136}$$

$$\frac{z_{h\psi}^t}{\Lambda^2} \approx \frac{1}{4}g^2 z_v^2 \times \left(\left(\begin{array}{ll} \frac{-k\pi r_c}{2} + \frac{1}{2} & \psi \text{ IR localized} \\ \frac{1}{4} - \frac{1}{4k\pi r_c} & \psi \text{ UV localized} \end{array} \right) \right) \tag{137}$$

where Y_ψ is the hypercharge of ψ and $z_v \equiv \frac{1}{\mu_{\text{TeV}}} \approx \frac{\sqrt{6}}{m_{KKg}}$ is the z-position of the IR brane. The contributions to $z_{h\psi}^t$ vanish when $SU(2)_L$ is on the IR brane. Consider first the case where $SU(2)_L$ is in the bulk. Then the constraints from the above operators depend on which fermions reside on the IR brane.
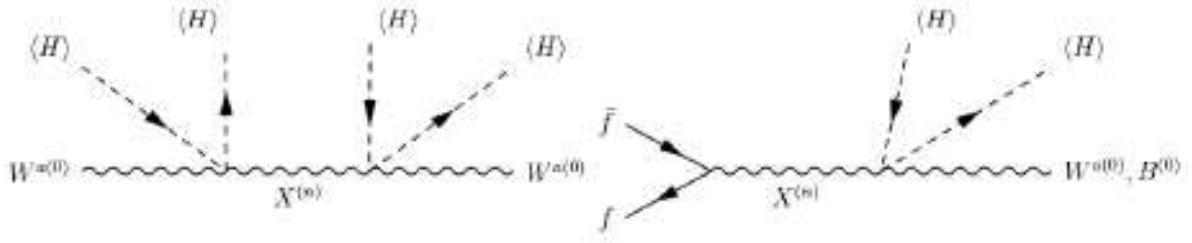

Figure 3: Diagrams that give contributions to electroweak observables at tree-level. The left diagram contributes to T and the right contributes to fermion-Higgs operators. $X^{(n)}$ indicates KK modes of bulk gauge fields.

The leading contribution to T is shown in figure 3 on the left, and have been calculated before [35][11]. The $U(1)_Y$ KK mode contribution and the $SU(2)_L$ KK mode contribution give

$$\Pi_{33}(0) \approx -\frac{v^2}{4} \frac{(k\pi r)(g^2 + g'^2)(vz_v)^2}{8} \left(1 - \frac{1}{k\pi r_c} \right)$$

$$\Pi_{11}(0) \approx -\frac{v^2}{4} \frac{(k\pi r)(g^2)(vz_v)^2}{8} \left(1 - \frac{1}{k\pi r_c} \right) \tag{138}$$

Recall

$$T \approx \frac{-16\pi(\Pi_{33}(0) - \Pi_{11}(0))}{v^2 e^2}$$

$$\approx -\frac{16\pi}{v^2 g'^2 \cos^2 \theta_W}(\Pi_{33}(0) - \Pi_{11}(0)) \tag{139}$$

---

[10]See eq 5.2 in [35].
[11]Their equation 4.7.

It is related to the operator coefficient by

$$\begin{aligned}
\frac{z_h}{\Lambda^2} &= -\frac{g'^2 \cos^2\theta_W}{2\pi v^2} T \\
&= -\frac{g'^2}{4} k\pi r_c z_v^2 \left(1 - \frac{1}{k\pi r_c}\right)
\end{aligned} \tag{140}$$

With $SU(2)_R$ in the bulk, the leading order piece in the volume factor $(k\pi r_c)$ cancels. With $m_h = 113$, we find, for $\Delta\chi^2 \equiv \chi^2 - \chi^2_{\rm SM}$,

$SU(2)_L$	$SU(2)_R$	L doublet	$m_{KKg,\Delta\chi^2=4.5}$	$m_{KKg,\Delta\chi^2=9}$
In Bulk	In Bulk	In Bulk	26.0	22.7
In Bulk	In Bulk	On Brane	26.5	23.4
In Bulk	Not Present	In Bulk	28.0	24.4
In Bulk	Not Present	On Brane	22.4	20.0
On Brane	Not Present	On Brane	15.6	14.2

though as we have mentioned in order to address the strong CP problem we have L doublets on the brane in our models. For 9 fit parameters, $\Delta\chi^2 = \chi^2 - (\chi^2_{\rm min,9} + 12.5) = 4.5(9.0)$ for 95% (99%) confidence. Certain linear combinations of the fermion-Higgs operators are effectively equivalent, via a field redefinition [32, 35], to contributions to S and T. When the fermions are on the brane, this contribution to S is effectively negative [30], whereas new physics usually gives a positive contribution to S. Therefore, it is not difficult, though somewhat ad hoc, to introduce new particles that alleviate these constraints. A less ad hoc option is to consider a heavy higgs, which increases S at the price of decreasing T. Contours are shown in figure 4 for all doublets on the TeV brane, for $SU(2)_L$ i) on the brane or ii) in the bulk, without $SU(2)_R$ gauged. Minimizing χ^2 over m_{KKg}, m_h gives $\chi^2_{\rm min,2} = \chi^2_{\rm SM} - 2.13$ in the former case and $\chi^2_{\rm min,2} = \chi^2_{\rm SM} - 2.25$ in the latter case. In both cases, electroweak constraints force $m^{(1)}_{\rm gauge} \gtrsim 15{\rm TeV}$.

D Domain Walls

Since CP is a discrete symmetry that is spontaneously broken at the scale $E_{\rm CP} = \mu_{\rm TeV} t_0^{-1}$, domain walls will form as the universe cools and passes through a phase transition. It is therefore important that this phase transition happen only before inflation and not after. During inflation, the universe rapidly cools to the de Sitter temperature $T_{\rm dS} = E_{\rm inf}^2/M_{\rm pl}$, where $E_{\rm inf} = V_{\rm inf}^{1/4}$ is the energy scale of inflation. If $E_{\rm CP} > T_{\rm dS}$, then the phase transition will occur during inflation. There is also a danger that the universe will reheat above $E_{\rm CP}$, and then domain walls would form again after inflation, as the universe cooled from above to below $E_{\rm CP}$. In order to avoid this, it is necessary that the reheating temperature $T_{\rm rh} < E_{\rm CP}$. If reheating is efficient, then $T_{\rm rh} = E_{\rm inf}$, but in general the reheating temperature can be much lower if the efficiency is very small, i.e. $T_{\rm rh} = \epsilon_{\rm eff} E_{\rm inf}$. Both constraints are satisfied for $E_{\rm CP} = 3{\rm TeV}$ if for example $E_{\rm inf} \approx 10^{11}{\rm GeV}$ and $\epsilon_{\rm eff} \approx 10^{-8}$.
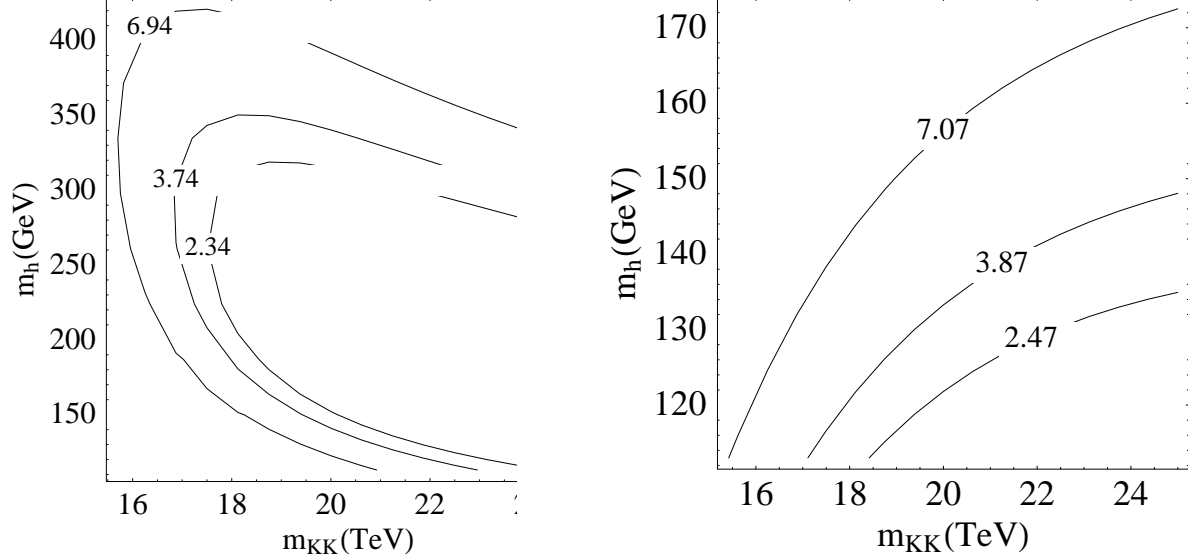
Figure 4: Plots of $\Delta\chi^2 = \chi^2 - \chi^2_{\rm SM}$ contours (90%, 95%, 99%) when the doublets are all on the brane. The left(right) plot shows the case when $SU(2)_L$ is in the bulk (on the TeV brane).

E KK Fermion Mass Matrix

The complete mass matrix for the fermions involves the masses of the kk modes, which get contributions from the yukawa interactions. In order for $\bar\theta$ to truly vanish at tree-level, it is important that these mixing terms do not ruin the reality condition on the mass matrix determinant. In fact, it is easy to see that they do not, with or without doublets in the bulk. Consider first the case with doublets in the bulk. Then, being very explicit, before going to the yukawa eigenbasis, the mass matrix for the zero modes and the first two excited modes takes the following form:

$$
\begin{pmatrix} u_L^{(0)} \\ u_L^{(1)} \\ u_L^{'(1)} \\ u_L^{(2)} \\ u_L^{'(2)} \end{pmatrix}^T
\begin{pmatrix}
vF^{q(0)\dagger}F^{u(0)} & 0 & vF^{q(0)\dagger}F^{u(1)} & 0 & vF^{q(0)\dagger}F^{u(2)} \\
vF^{q(1)\dagger}F^{u(0)} & M_Q^{(1)} & vF^{q(1)\dagger}F^{u(1)} & 0 & vF^{q(1)\dagger}F^{u(2)} \\
0 & 0 & M_U^{(1)} & 0 & 0 \\
vF^{q(2)\dagger}F^{u(0)} & 0 & vF^{q(2)\dagger}F^{u(1)} & M_Q^{(2)} & vF^{q(2)\dagger}F^{u(2)} \\
0 & 0 & 0 & 0 & M_U^{(2)}
\end{pmatrix}
\begin{pmatrix} u_R^{(0)} \\ u_R^{'(1)} \\ u_R^{(1)} \\ u_R^{'(2)} \\ u_R^{(2)} \end{pmatrix}
$$

where $M_U^{(i)}$ denotes a diagonal, real mass matrix of the KK masses. This matrix is hermitian, thanks to the many empty entries, as long as $M_U^{(i)}, M_Q^{(i)}$, are hermitian and $F^{q(0)\dagger}F^{u(0)}$ has real determinant. Notice that $F^{q(i)\dagger}F^{u(j)}$ does not affect the determinant of this matrix be hermitian for any (i,j) except for $(0,0)$.

When doublets are on the brane, the mass matrix is even simpler.

$$
\mathcal{L} \supset
\begin{pmatrix} u_L^{(0)} \\ u_L^{'(1)} \\ u_L^{'(2)} \end{pmatrix}^T
\begin{pmatrix}
vF^{u(0)} & vF^{u(1)} & F^{u(2)} \\
0 & M_U^{(1)} & 0 \\
0 & 0 & M_U^{(2)}
\end{pmatrix}
\begin{pmatrix} u_R^{(0)} \\ u_R^{(1)} \\ u_R^{(2)} \end{pmatrix}
$$

which clearly has real determinant.

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